# **National Highway Authority**

ISO 9001:2015 Certified



# **REQUEST FOR PROPOSAL**

For

# CONSULTANCY SERVICES FOR THIRD PARTY REVIEW OF PC-I OF ELECTRICAL & MECHANICAL WORKS OF LOWARI TUNNEL PROJECT (SHORT-TERM CONSULTANT)

Tender No. 6(633)

Pages-1 to 93

June, 2024

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Say No to Corruption Letter of Invitation

GOVERNMENT OF PAKISTAN
NATIONAL HIGHWAY AUTHORITY
27-Mauve Area, G-9/1,
Post Box No. 1205,
ISLAMABAD
Dated the
Ref No.

### **LETTER OF INVITATION (LOI)**

To,

All prospective consultants

Gentlemen!

We extend warm welcome to you and invite you for participating in this project. We hope that you will live up to your reputation and provide us accurate information so that the evaluation is carried out "just and transparent". Please understand that the contents of this RFP, where applicable, shall be deemed part of the contract agreement. An example to this affect can be the contents of your work plan and methodology which you shall be submitting in your technical proposal. Since that is the basis of the selection, therefore, it shall become part of the contract agreement subject to approval/revisions of the same by NHA during the negotiations. Similarly, all other services and the content contributing to services shall be deemed part of the contract agreement unless it is specified for any particular item up-front in your technical proposal which obviously will make your proposal a conditional proposal whereby, authorizing NHA to may or may not consider to evaluate your proposal. Please understand that if no such mention appears up-front (i.e. on front page of technical proposal) then it shall be deemed that the consultant is in 100% agreement to the above. You are also advised to kindly read the RFP thoroughly as it can drastically affect the price structure for various services which may not be appearing directly in the terms of reference. In the end, we appreciate your participation and hope that you will feed a good proposal to merit consideration by NHA.

> General Manager (P&CA) Telephone: +92-51-9032727 Fax: +92-51-9260419

E-mail:gmpca.nha@gmail.com,

Website: www.nha.gov.pk



### **ATTACHMENTS**

- 1. Instructions to Consultants
- 2. Data Sheet
- 3. Summary Evaluation Sheet
- 4. Personnel Evaluation Sheet
- 5. Technical Proposal Forms
- 6. Financial Proposal Forms
- 7. Appendix A (Terms of Reference)
- 8. Appendix B (List of Supporting Documents)
- 9. Appendix C (Person-Months and Activity Schedule)
- 10. Appendix D (Client's Requirements from the Consultants)
- 11. Appendix E (Personnel, Equipment, Facilities and other services to be provided by the Client).
- 12. Appendix F (Copy of Model Agreement)



### INSTRUCTIONS TO CONSULTANTS

### 1. INTRODUCTION

- 1.1 You are hereby invited to submit a technical and a financial proposal for consulting services required for the assignment named in the attached **Data Sheet** (referred to as "**Data Sheet**" hereafter) annexed with this letter. Your proposal could form the basis for future negotiations and ultimately a Contract between your firm and the Client named in the **Data Sheet**.
- 1.2 A brief description of the assignment and its objectives are given in the **Data Sheet**. Details are provided in the attached RFP for design services provided in the Documents and will become part of agreement subsequently.
- 1.3 The assignment shall be implemented in accordance with the phasing specified in the **Data**Sheet.
- 1.4 The Client has been entrusted the duty to implement the Project as Executing Agency by Government of Pakistan (GoP) and funds for the project shall be arranged by the Client.
- 1.5 To obtain first-hand information on the assignment and on the local conditions, you are encouraged to pay a visit to the Client before submitting a proposal and attend a pre-proposal conference if specified in the **Data Sheet**. Your representative shall meet the named officials on the date and time specified in the **Data Sheet**. Please ensure that these officials are advised of the visit in advance to allow adequate time for them to make appropriate arrangements. You must fully inform yourself of local conditions and take them into account in preparing your proposal.
- 1.6 The Client shall provide the inputs specified in the **Data Sheet**, assist the Consultants in obtaining licenses and permits needed to carry out the services, and make available relevant project data and reports.

### 1.7 Please note that:

- i. The cost of preparing the proposal and of negotiating the Contract, including a visit to the Client, are not reimbursable as a direct cost of the Assignment, and
- ii. The Client is not bound to accept any of the proposals submitted.
- 1.8 The names of the invited consultants are given in the **Data Sheet**.
- 1.9 We wish to remind you that in order to avoid conflicts of interest:
  - a) Any firm providing goods, works, or services with which you are affiliated or associated is not eligible to participate in bidding for any goods, works, or services (other than the services and any continuation thereof) resulting from or associates with the project of which this assignment forms a part; and
  - b) Any previous or ongoing participation in relation with the project by your firm, its professional staff, its affiliates or associates under a Contract may result in rejection of your proposal. You should clarify your situation in that respect with the Client before preparing the proposal.

1.10 A firm may submit its proposal for the Assignment either as an independent Consultant or as a Member of a JV Consultants but participation of a firm occurring in more than one proposal for the Assignment is not allowed. In case a firm participates in more than one proposal, all such proposals shall be **disqualified and rejected**. However, this condition does not apply for individual Specialist Sub-consultant(s).

### 2. **DOCUMENTS**

- 2.1 To prepare a proposal, please use the Documents specified in the **Data Sheet**.
- 2.2 Consultants requiring a clarification of the Documents must notify the Client, in writing, not later than twenty-one (21) days before the proposal submission date. Any request for clarification in writing, or by cable, telex or tele-fax shall be sent to the Client's address specified in the **Data Sheet**. The Client shall respond by cable, telex or tele-fax to such requests and copies of the response shall be sent to all invited Consultants.
- 2.3 At any time before the submission of proposals, the Client may, for any reason, whether at its own initiative or in response to a clarification requested by an invited consulting firm, modify the Documents by amendment. The amendment shall be sent in writing or by cable, telex or tele-fax to all invited consulting firms and will be binding on them. The Client may at its discretion extend the deadlines for the submission of proposals.

### 3. PREPARATION OF PROPOSAL

It will consist of two parts – Technical and Financial

### 3.1 Technical Proposal

- 3.1.1 The Technical Proposal should be submitted using the format specified and shall include duly signed and stamped forms appended with the RFP. This is a **mandatory requirement** for evaluation of proposals and needs to be filled up carefully.
- 3.1.2 For Technical Proposal, the general approach and methodology which you propose for carrying out the services covered in the TOR, including such detailed information as you deem relevant, together with your appreciation of the Project from provided details and
  - (a) A detailed overall work program to be provided with timing of the assignment of each expert or other staff member assigned to the project. This will also provide the Client an opportunity to effectively monitor work progress.
  - (b) Total number of man-months and project duration as per TOR.
  - (c) 'Clear description of the responsibilities of each expert staff member within the overall work program.
  - (d) The Curriculum Vitae (CV) of all Key Staff members and an affidavit that proposed staff shall be available for the assignment during the project duration and their present place of duty must also be specified. The Consultants are advised to suggest such names that shall be available for the Assignment.



- (e) The technical proposal shall include duly filled in forms provided with this RFP. The name, background, and professional experience of each expert staff member to be assigned to the project, with particular reference to his experience of work of a nature similar to that of the proposed assignment.
- (f) Current commitments and past performance are the basic criteria of technical proposal. You are required to provide the details of present commitments/on- going jobs as referred in the Form A-10 of technical proposal. Further, the basis for the past performance is the report from Design Section and Construction Wing of the Client.
- 3.1.3 In preparing the technical proposal, you are expected to examine all terms and instructions included in the Documents. Failure to provide all requested information shall be at your own risk and may result adversely in the scoring of your proposal. The proposal should be prepared as per RFP and any suggestion or review of staff etc. should be clearly spelt out in form A-4. This will be discussed at the time of negotiation meeting as and when called.
- 3.1.4 During preparation of the technical proposal, you must give particular attention to the following:
  - a. The Firm needs to be registered with Pakistan Engineering Council (PEC).
  - b. If you consider that your firm does not have all the expertise for the assignment you may obtain a full range of experience by associating with other firms or entities. You may also utilize the services of expatriate experts but only to the extent for which the requisite expertise is not available in any Pakistani firm. In case of Joint Venture, the proposal should state clearly partners will be "Jointly and Severally" responsible for performance under the Contract and one (Representative) partner will be responsible for all dealings with the Client on behalf of the Joint Venture. Its "Power of Attorney" on this account is to be enclosed. The representative partner shall retain the responsibility for the performance of obligations and satisfactory completion of the consultancy services. PEC registers a foreign consulting firm for issuing license to provide consultancy services in Pakistan, which is based on formation of JV with the condition that the foreign consulting firm shall provide only that share of consultancy services by the JV for which expertise is not available with Pakistani consulting firms. A copy of JV agreement to be provided at the time of finalizing the contract documents with specific responsibilities and assignments to be looked after by each partner.
  - c. Subcontracting part of the assignment to the other Consultants is not discouraged and Specialist Sub-Consultants may be included.
  - d. The key professional staff proposed shall be permanent employees of the firm unless otherwise specified in the **Data Sheet**. The minimum stay with the firm for such persons is Six months. No alternative to key professional staff may be proposed and only one CV may be submitted for each position. The minimum required experience of proposed Key Staff is specified in the **Data Sheet**.
  - e. The training shall be imparted during the currency of the contract if specified in the **Data Sheet**.

3.1.5 The technical proposal shall not include any financial information. The Consultant's comments, if any, on the data, services and facilities to be provided by the Client and specified in the TOR shall be included in the technical proposal.

### 3.2 Financial Proposal

- 3.2.1 The financial proposal should be submitted using the format specified and enclosed with this RFP. This is a mandatory requirement for evaluation of proposals and needs to be filled up carefully. The total cost is to be specified in the Form A-17 and accordingly also in Form A-11.
- 3.2.2 The financial proposal should list the costs associated with the Assignment. These normally cover remuneration for staff in the field and at headquarters, per diem, housing, transportation for mobilization and demobilization, services and equipment (vehicles, office equipment furniture and supplies), printing of documents, surveys and investigations. These costs should be broken into foreign (if applicable) and local costs. Financial proposal should be prepared using the formats attached as forms A-11 to A-17.
- 3.2.3 The financial proposal shall also take into account the professional liability as provided under the relevant PEC Byelaws and cost of insurances specified in the **Data Sheet**.
- 3.2.4 Costs may be expressed in currency (s) listed in the **Data Sheet**.
- 3.2.5 The evaluation committee will correct any computational errors. When correcting computational errors, in case of discrepancy between a partial amount and the total amount, or between words and figures the formers will prevail. In addition to the above corrections, activities and items described in the Technical Proposals but not priced, in the Financial Proposals shall be assumed to be included in the prices of other activities or items. In case an activity or item is quantified in the Financial Proposal differently from the Technical Proposal, the evaluation committee shall correct the quantification specified in the Financial Proposal so as to make it consistent with that specified in the Technical Proposal.

### 4. SUBMISSION OF PROPOSALS

- 4.1 You shall submit one original technical proposal and one original financial proposal and the number of copies of each specified in the **Data Sheet**. Each proposal shall be in a separate envelope indicating original or copy, as appropriate. All technical proposals shall be placed in an envelope clearly marked "Technical Proposal" and the financial proposals in the one marked "Financial Proposal". These two envelops, in turn, shall be sealed in an outer envelope bearing the address and information specified in the **Data Sheet**. The envelope shall be clearly marked, "DO NOT OPEN, EXCEPT IN PRESENCE OF THE EVALUATION COMMITTEE."
- In the event of any discrepancy between the copies of the proposal, the original shall govern. The original and each copy of the technical and financial proposals shall be prepared in indelible ink and shall be signed by the authorized Consultant's representative. The representative's authorization shall be confirmed by a written power of attorney accompanying the proposals. All pages of the technical and financial proposals shall be initialed by the person or persons signing the proposal.

4.3 The proposal shall contain no interlineations or overwriting except as necessary to correct errors made by the Consultants themselves. Any such corrections shall be initialed by the person or persons signing the proposal.

- The completed technical and financial proposals shall be delivered on or before the time, date, and the location specified in the **Data Sheet**.
- 4.5 The proposals shall be valid for the number of days stated in the **Data Sheet** from the date of its submission. During this period, you shall keep available the professional staff proposed for the assignment. The Client shall make its best effort to complete negotiations at the location stated in the **Data Sheet** within this period.

### 5. PROPOSAL EVALUATION

5.1 A Single-Stage-Two-Envelope procedures shall be adopted in ranking of the proposals. The technical evaluation shall be carried out first, followed by the financial evaluation. The Consultants shall be ranked using a combined technical/financial score.

### 5.2 Technical Proposal

5.2.1 The evaluation committee appointed by the Client shall carry out its evaluation for all the projects as listed in Para 1.1, applying the evaluation criteria and point system specified in the **Data Sheet**. Each responsive proposal shall be given a technical score: St. The Consultants scoring less than seventy (70) percent points shall be rejected and their financial proposals returned un-opened.

### 5.3 Financial Proposal

- 5.3.1 The financial proposals of technically qualifying Consultants on the basis of evaluation of technical proposals shall be opened in the presence of the representatives of these Consultants, who shall be invited for the occasion and who care to attend. The Client shall inform the date, time and address for opening of financial proposals as specified in the **Data Sheet**. The total cost and major components of each proposal shall be publicly announced to the attending representatives of the firms.
- 5.3.2 The evaluation committee shall determine whether the financial proposals are complete and without computational errors. The lowest financial proposal (Fm) among all shall be given a financial score: Sf of 1000 points. The financial scores of the proposals shall be computed as follows:

$$S_f = (1000 \text{ x Fm})/F$$
  
(F = amount of specific financial proposal)

5.3.3 Proposals, in the Quality Cum Cost Based Selection (QCBS) shall finally be ranked according to their combined technical (St) and financial (S<sub>f</sub>) scores using the weights (T- the weight given to the technical proposal, P = the weight given to the financial proposal, and T+P=1) stated in the **Data Sheet**:

 $S = St \times T \% + Sf \times P\%$ 

### 6. **NEGOTIATION**

6.1 Prior to the expiration of proposal validity, the Client shall notify the successful Consultant who submitted the highest-ranking proposal in writing, by registered letter, cable telex or facsimile and invite it to negotiate the Contract.

- 6.2 Negotiations normally take from two to five days. The aim is to reach agreement on all points and initial a draft contract by the conclusion of negotiations.
- 6.3 Negotiations shall commence with a discussion of your technical proposal. The proposed methodology, work plan, staffing and any suggestions you may have made to improve the TOR. Agreement shall then be reached on the final TOR, the staffing, and the bar charts, which shall indicate activities, staff, and periods in the field and in the home office, staff months, logistics and reporting.
- 6.4 Changes agreed upon shall then be reflected in the financial proposal, using proposed unit rates (no negotiation of the staff month rates).
- 6.5 Having selected Consultants on the basis of, among other things, an evaluation of proposed key professional staff, the Client expects to negotiate a contract on the basis of the staff named in the proposal. Prior to contract negotiations, the Client shall require assurances that the staff members will be actually available. The Client shall not consider substitutions of key staff except in cases of un-expected delays in the starting date or incapacity of key professional staff for reasons of health.
- The negotiations shall be concluded with a review of the draft form of the contract. The Client and the Consultants shall finalize the contract to conclude negotiations. If negotiations fail, the Client shall invite the Consultants that received the second highest score in ranking to Contract negotiations. The procedure will continue with the third in case the negotiation process is not successful with the second ranked consultants.

### 7. AWARD OF CONTRACT

- 7.1 The contract shall be awarded after successful negotiations with the selected Consultants and approved by the competent authority. Upon successful completion of negotiations/ initialing of the draft contract, the Client shall promptly inform the other Consultants that their proposals have not been selected.
- 7.2 The selected Consultant is expected to commence the assignment on the date and at the location specified in the **Data Sheet**.

### 8. CONFIRMATION OF RECEIPT

- 8.1 Please inform the Client by telex/facsimile courier or any other means:
  - i. That you received the letter of invitation;
  - ii. Whether you will submit a proposal; and
  - iii. If you plan to submit a proposal, when and how you will transmit it.



## **DATA SHEET**

LOI Clause No.	DESCRIPTION OF CLAUSE
1.1	The name of Assignment is: "Consultancy Services for Third Party Review of PC-I of Electrical & Mechanical Works of Lowari Tunnel Project (Short-term Consultant)"
	The Client's Name is: National Highway Authority
1.2	The description and the objectives of the assignment are: As per TOR
1.3	Phasing of the Assignment (if any): Nil
	The Consultant shall commence the assignment upon signing of Contract Agreement between NHA and the successful Consultant.
1.5	Pre-Proposal Conference: Yes No
	The name(s) and address(es) of the Official(s) is (are):
	General Manager (P&CA)  National Highway Authority 28, Mauve Area, G-9/1  Islamabad
	Date, Time and Venue for Pre-Proposal Conference:
	Date: 21st June, 2024 Time: 1100 hours Venue: General Manager (P&CA) National Highway Authority 28, Mauve Area, G-9/1-Islamabad.
1.6	The Client shall provide the following inputs:
	As per TOR and Appendix E.
1.7	Following sub-clauses are added:
	iii. Form A-4 is meant for comments on provision contained in RFP and Terms of Reference (TOR) and unless the observations are noted in this particular form, anything written elsewhere on this account including financial implications, if any, shall be considered of no consequence in the evaluation process.
	iv. Consultants may form a Joint Venture (JV) to qualify for the Assignment in such case the contract will be signed between the Client and all members of the JV on the prescribed Form included in Appendix F (copy of Model Agreement) subject to the ranking and successful negotiations.
	v. Except as the Client may otherwise agree, no changes shall be made in the Key Personnel during the Contract period. If, for any reason beyond the reasonable control of the Consultants, it becomes necessary to replace any of the Key Personnel, then the Consultants at the written instructions of Client shall provide as a replacement with equivalent or better qualification.
	vi. The Consultants shall clear all payable dues and salaries of its staff in time and not later than 10 <sup>th</sup> of the following month positively. In case of failure to do so Client

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shall intervene and pay these dues and salaries of the concerned Personnel on behalf of the Consultants and recover from the invoice of the Consultants the actual charges paid plus a penalty of 1% of this amount. This will also be accounted adversely in making assessment of the Consultants in the next evaluation process, if such defaults are reported by any section of the NHA.

1.8 The Invited Consultants/ Eligible Consultants are: Any firm meeting the following requirements:

### **Eligibility of Consultants:**

- (I) Technical Proposal:
  - i. For Single Entity, Valid Registration Certificate of Pakistan Engineering Council with Project Profile Code of 1215 (ii) (Highways/ Bridges/Tunnels as applicable). In case of JV, experts proposed by each consultant should have relevant project profile code of 1215 (ii.) (Highways/ Bridges/Tunnels) as applicable. In case of formation of JV with foreign consultant in such case foreign consulting firms shall make JV in accordance with Byelaw 6(2) and Byelaw 9 of the Pakistan Engineering Council (Conduct and Practice of Consulting Engineers) Bye-Laws 1986. Failure to provide Registration Certificate (license) of the firms/ Relevant registration documents/receipts (in case of foreign consulting firm) (each member in case of JV) by the PEC will entitle the Client to reject proposal.
- ii. All JV partners/firms must be registered and on Active Taxpayer list (ATL) of Federal Board of Revenue (FBR). Proof along with valid NTN No. shall be provided.
- iii. In case of JV members, Letter of Intent to form JV on each firm's letter head is required in original (scanned copy is not acceptable). The specimen is attached at Annex-A
- iv. TECHNICAL PROPOSAL FORMS A-1 to A-10 duly completed as per Instructions to Consultants/ Data Sheet and requirements of TOR (To be attached with Technical Proposal except Form A-4, which can be submitted with or without comments)
- v. Audit Reports (minimum 03 Nos) of the firm(s) during last five years, prepared by registered Chartered Accountant (signed/stamped) appearing on list of firms on ICAP directory as per International Standard on Auditing (ISA's) (To be attached with Technical Proposal). (Refer Annexure-II at the end of RFP).
- vi. Lists of facilities available with the Consultant to perform their functions effectively (software, hardware, etc.). In case of JV, the same will be provided by the lead firm only.

### (II) Financial Proposal:

- i. FINANCIAL PROPOSAL FORMS A-11 to A-17 and PROPOSAL SECURING DECLARATION duly completed as per Instructions to Consultants/ Data Sheet and requirements of TOR (To be attached with Financial Proposal). Scanned financial proposal shall be rejected.
- ii. While engaging in Public Procurement contracts worth Rs. 50 million and above, each Consultant (lead and their JV Members) shall provide duly filled Performa of "Declaration of Ultimate Beneficial Owners Information for Public Procurement Contracts", in their Financial Proposals, which is attached as Annexure-I at the end of this RFP.

Note: If the financial proposal of the Consultant is found non-responsive, then for evaluation purpose, financial score of the Consultant shall be given as zero.



The proposals (technical + financial) should be bound in hard book binding form to deny the possibility of removal or addition of page(s). All the pages of proposal must be signed and stamped in original by authorized representative of the firm/JV. All the pages must be numbered starting from first page to last. At the time of proposal submission/ opening, page numbering, signing and stamping of proposals will be checked by Committee Members. If any minor discrepancy is found, then same shall be asked by the Committee members to the Authorized Representative of firms to correct it in front of all committee members. In the absence of authorized representative, the concerned firm will be announced dis-qualified/ nonresponsive. 2.1 The Documents are: (a) Letter of Invitation (LOI). (b) Instructions to Consultants (ITC). (c) Data Sheet. (d) Technical Proposal Forms. (e) Financial Proposal Forms (f) Appendix – A: TOR and Background Information. (g) Appendix – B: List of Supporting Documents (h) Appendix - C: Man-Months and Activity Schedule (i) Appendix – D: Client's Requirements from the Consultant. (i) Appendix – E: Personnel Equipment, Facilities and Other Services to be provided by the Client. (k) Appendix – F: Copy of Model Agreement/ Draft Form of Contract & Appendices (1) Form of Contract (For Consultants to perform services as a Joint Venture) The words "Twenty-one (21)" is deleted in its entirety and replaced with "Ten (10)". The 2.2 information will be shared through email or courier. The address for seeking clarification is: General Manager (P&CA) National Highway Authority 28, Mauve Area, G-9/1, Islamabad E-mail: gmpca.nha@gmail.com gmpca@nha.gov.pk 2.3 Add following clause: "The information will be shared to all prospective consultants through uploading on NHA website/ PPRA Website or publishing on Newspapers whatever the case". a. Add following at the end of Sub – Para 3.1.4 (b): 3.1.4 Clearly mention the percentage and detail of share as well of each JV partner in the JV

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agreement. First page of the JV agreement must be on Stamp Paper of minimum Rs. 100 duly attested by the Oath Commissioner.

c. The term associates, if used in the proposal or otherwise shall not be considered as an alternative of IV member. Any personnel proposed for the Assignment but belonging

alternative of JV member. Any personnel proposed for the Assignment but belonging to the so-called associates (Sub-consultants) shall not be marked in evaluation of technical proposal like in case of Sub-consultants (except individual Specialist Sub-consultants having unique expertise which is rarely available OR an expatriate Personnel) who are not supposed to contribute in qualification of their main consultants.

Yes	√	No	
103	,	110	

The minimum required experience of proposed Key Personnel is given below:

	FOR KEY PERSONNEL				
Team Leader/ Tunnel Engineer	Education: Preferably PhD (Geotechnical Engineering with Experience in Tunnel Engineering) or minimum M.Sc. (Tunnel Engineering/ Geotechnical Engineering/ Soil Mechanics).  Experience: Preferably Ten (10) years design experience as Team Leader/ Geotechnical Expert on Highways/ Roads/Tunnels Projects.  Rating: PhD. with relevant training – 100%; PhD. without relevant training – 90%; M.Sc. with relevant training – 80%; M.Sc. without				
	relevant training – 70%.				
Electrical & Mechanical (E&M) Expert for Tunnel	Education: Preferably PhD in (Electrical/Mechanical Engineering with Experience in E&M works of Tunnel Engineering) or Minimum M.Sc (Electrical/Mechanical Engineering with Experience in E&M works of Tunnel Engineering).  Experience: Preferably ten (10) year relevant experience [proven five (05) year experience as E&M Expert on Highway and Tunnel design projects].  Rating: PhD. with relevant training – 100%; PhD. without relevant training – 90%; M.Sc. with relevant training – 80%; M.Sc. without relevant training – 70%.				
Quantity Surveyor	Education: Preferably B.Sc. (Civil Engineering) or minimum DAE (Civil).  Experience: Preferably Fifteen (15) years design experience as Quantity Surveyor on Highways/ Road Projects.  Rating: B.Sc. with relevant training – 100%; B.Sc. without relevant training – 90%; DAE (Civil) with relevant training – 80%; DAE (Civil) without relevant training – 70%.				



Consultancy Services for Third Party Review of PC-I of Electrical & Mechanical Works of Lowari Tunnel Project.

without regard to the said format may score low.

Note: The Consultants are advised to submit updated CV's strictly in compliance with the format of CVs given in Technical Proposal Form A-5. CVs submitted

	<del>,</del>						
	e. Training is an important feature of this Assignment:						
	YesNo						
	If Yes, details of training are given in TOR						
3.2.3	Professional liability, documentation):	insurances (description or reference to appropriate					
	1	be responsible for Professional Indemnity Bond of the required ost. This bond shall be in the joint name of Consultant and the					
	Hospitalization/ Medic	required to insure their Employees and Professionals for al, Travel and Accident Cover for the duration of the Contract. Para 3.5 of Special Conditions of Contract in Model Contract.					
3.2.4	The currency of cost shall	be expressed in Pak. Rupees.					
4.1	The number of copies of the	ne Proposal required is:					
	TECHNICAL PROPOSAL:	ONE ORIGINAL AND THREE COPIES WITH CD/USB (SOFT FORM OF COMPLETE TECHNICAL PROPOSAL IN PDF FORM) IN SEALED ENVELOPE					
	FINANCIAL PROPOSAL:	ONE ORIGINAL WITH CD/USB (SOFT FORM OF COMPLETE FINANCIAL PROPOSAL IN PDF AS WELL AS MS WORD/EXCEL FORMS) IN SEALED ENVELOPE					
	The address for writing on the proposal is:						
	General Manager (P&CA) National Highway Authority 28, Mauve Area G-9/1 Islamabad Telephone: +92-51-9032727, Facsimile: +92-51-9260419						
4.4	The date and time of propo	osal submission is:					
	Date:	2 <sup>nd</sup> July, 2024					
	Time: Location of Submission:	1130 hours General Manager (P&CA)					
		National Highway Authority 28-Mauve Area G-9/1 Islamabad					
4.5	Validity period of the proposal is: 270 days (Proposal shall be valid for 270 days after the date of submission of proposal).						
	The location for negotiation of proposal is:						
		General Manager (P&CA)					
	٠.	National Highway Authority 28-Mauve Area G-9/1 Islamabad					
		Telephone: +92-51-9032727 Facsimile: +92-51-9260419					

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5.2	The e	valuation of technical proposal shall be based on following criteria:				
	Sr. No.	Description / Items	Points			
	1.	Experience of the Firm	150			
		1-a) General Experience in road Transport Sector *	(30)			
		1-b) Specific Experience related to particular Assignment*	(120)			
	2.	Approach & Methodology	100			
		2-a Methodology	<u>(80)</u>			
		(i). Proposed Solutions for this Project	(30)			
		(ii). Quality of Methodology	(20)			
		(iii). Conciseness, clarity and completeness of proposal**	(30)			
		2-b Suggested changes for improvement in TOR	<u>(10)</u>			
	2	2-c Work Program	(10)			
	3.	Key Staff***	600			
	4.	Performance Certification from clients****	100			
	5.	Present Commitments (current engagement and available strength – justification)	50			
		Total Points:	1000			
		Minimum qualifying technical score:	700			
	*	General Experience: Maximum ten (10) best projects completed, indice their worth, person man-months of key personnel and duration of the project be provided under general experience for consideration in evaluation.				
		Specific Experience: Maximum five (05) Third Party tunnels/highways projects completed or substantially completed in (10) years indicating their worth, person man-months of key peduration of the project shall be provided under specific expectonsideration in evaluation. Preference will be given to the consultant having experience of tunnels projects completed or completed (Maximum 03 projects).	the last te rsonnel and perience for participating			
1		<b>Note:</b> Any project mentioned completed under Form A-2 ( <i>Specific</i> will not be considered for evaluation unless Assignment Completion substantially completed with satisfactory remarks by the client's rejis attached. The Client NHA reserves the right to verify the P Assignment Completion Certificates.	Certificato presentativ			
	**	Conciseness and clarity contain 10 marks and 20 marks will completeness of the proposals which includes but not limited to h sequential page numbering, signing and stamping of each page of pro	ard binding			
Sour of Pakist	***	Firm affidavit for presence of personnel carries 25 marks out of (complete in all respect as per specimen annexed at <b>Annex-C</b> placed Proposal Forms).				
//	****	Performance/ Client Satisfaction Certificates shall be provided for assignments completely assignments completely assignments.				

in evaluation (at least for the last three projects/ assignments completed in the last |

	five years) indicating the Title, Staff man months of key professional provided by the firm & completion status. The Client NHA reserves the right to verify the Performance/ Assignment Completion Certificates. (Note: Scoring of Marks will be affected, if any adverse performance rating of consultant, is reported by any section of NHA).  25 out of 100 marks will be allocated for provision of affidavit on stamp paper duly attested by the Oath Commissioner to the effect that the firm has neither been blacklisted nor any contract rescinded in the past for non-fulfillment of contractual obligations (complete in all respect as per specimen annexed at Annex-B placed in Technical Proposal Forms).				
	Sr. No.	ts earmarked for evaluation sub-criteria (3) for suitability of Key  Description / Items	Statt are: Points (%)		
	i. ii. iii.	Academic and General Qualifications Professional experience related to the Project Status with the firm (Permanent & duration with Firm as per Data Sheet Clause 3.1.4 (d)  Total Points:	30 60 10		
5.3.1	Following is added:  The words "three top-ranking qualifying consulting firms" is deleted in its entirety and replaced with the words "qualifying consultants"				
5.3.3	The date, time, and address of the financial proposal opening shall be informed after evaluation and approval of technical proposals, accordingly.  The weights given to the Technical and Financial Proposals are:  Technical (T%): 80%				
	Financial (P%): 20%				
6.1	Add following at the end of this Para: Negotiation meeting will be called if required by the client.				
7.2	The assig	nment is expected to commence in: August, 2024			
8	The Claus	se is deleted in its entirety			



### SUMMARY EVALUATION SHEET FOR FULL TECHNICAL PROPOSALS (QCBS)

EVALUATION CRITERIA	Max.	*+2DFir	mīl 🔀	Fin	n 2 📆
Sold of the state	Weightage !	Rating	Score	Rating	Score
1. Firms Experience	150				
General Experience in road Transport Sector	30				
Specific Experience related to particular Assignment	120				
2. Approach and Methodology	100				
2-a. Methodology	80				
(i) Proposed Solutions for this Project	(30)				
(ii) Quality of Methodology	(20)				-
(iii) Conciseness, clarity and completeness of proposal	(30)				
2-b. Suggested Changes for Improvement in TOR	10				
2-c. Work Program	<u>10</u>	.,			
3. Key Personnel	600				1
Firm affidavit for presence of personnel	25				
i. Team Leader/ Tunnel Engineer	275				
ii. Electrical & Mechanical (E&M) Expert for Tunnel	200			,,,,	
iii. Quantity Surveyor	100	, .			
4. Performance Certification from clients	75				
Affidavit on stamp paper duly attested by the Oath Commissioner regarding non-blacklisting	25				
5. Present Commitments (current engagement and available strength – justification)	50			٠.	
TOTAL:	1000				

Excellent - 100% Very Good - 90-99% Above Average - 80-89% Average - 70-79% Below Average - 1-69% Non-complying - 0%,

Score: Maximum Weightage rating / 100. Minimum qualifying score is 70% or 700 marks.

### PERSONNEL EVALUATION SHEET

POSITION / AREA OF EXPERTISE	Name	Academic and General Qualification Weightage 30%		Project related Experience Weightage 60%		Status with the Firm 10%		OVERALL RATING (Sum of Weighted Ratings)
(Show all experts to be evaluated)		Percentag e Rating	Weighted Rating (A)	Percentage Rating	Weighted Rating (B)	Percentage Rating	Weighted Rating (C)	(A+B+C)
i. Team Leader/ Tunnel Engineer								
ii. Electrical & Mechanical (E&M) Expert for Tunnel								
iii. Quantity Surveyor								

Rating: - Excellent - 100%

Very good - 90-99%

Above Average – 80-89% Average – 70-79% Below Average – 1-69% Non-complying - 0%

Score: Maximum Weightage X rating / 100. Minimum qualifying score is 70%.



# TECHNICAL PROPOSAL FORMS



### Technical Proposal - Forms

{Notes to Consultant shown in brackets throughout this Section provide guidance to the Consultant to prepare the Technical Proposal; they should not appear on the Proposals to be submitted.}

Checklist of Required Forms (subparagraph 3.1.3 of ITC)

FORM	DESCRIPTION	Page Limit
A-1	Technical Proposal Submission Form	
A-1 Attachment	Proof of legal status and eligibility	
A-1 Attachment	If the Proposal is submitted by a joint venture, attach a letter of intent.	
Attachment Power of	representative of the lead firm as per instructions given in specimen of letter of	
	Consultant's Organization and Experience.	As given below
	A. Consultant's Organization	3
A-2	B. Consultant's Experience/ Client's Reference (excluding certificates)	20
	C. Client's Satisfaction Certificate alongwith details	10
A-3	Approach Paper on Methodology	50
	Comments/ Suggestions of Consultant	[See footnote] <sup>1</sup>
A-4	A. On the Terms of Reference	n/a
	B. On the Counterpart Staff and Facilities	2
A-5	Format of Curriculum Vitae (CV) for proposed Key Personnel	8 pages each CV
A-6	Completion and Submission of Reports as per TOR	n/a
A-7	Composition of the Team Personnel and the Tasks to be Assigned to each Team Member	n/a
A-8	Work Plan /Activity Schedule	n/a
A-9	Work Plan and Time Schedule for Key Personnel (Man-months of staff and Project Duration as per TOR.)	n/a
A-10	Current Commitments of the Firm	n/a
	A-1 Attachment A-1 Attachment A-1 Attachment A-1 Attachment Power of Attorney  A-2  A-3  A-4  A-5  A-6  A-7  A-8  A-9	A-1 Attachment A-1 Attachment A-1 Attachment A-1 Attachment A-1 Attachment Power of Attorney  Attorney  A-2 A-3 A-2 A-3 A-3 A-3 A-3 A-3 A-3 A-3 A-4 A-4 A-5 B- Consultant's Suggestions of Consultant A-1 A-1 A-1 An A-2 A-3 A-4 A-5 A-5 B- Consultant's Curriculum Vitae (CV) for proposed Key Personnel A-6 A-7 Composition of the Team Personnel and the Tasks to be Assigned to each Team Member A-8 Work Plan And Time Schedule for Key Personnel (Man-months of staff and Project Duration as per TOR)  A-1 A-2 A-3 A-3 A-3 A-4 A-4 A-5 B- Consultant's Experience/ Client's Reference (excluding certificates) C. Client's Satisfaction Certificate alongwith details A-3 Approach Paper on Methodology proposed for Performing the Assignment Comments/ Suggestions of Consultant A-4 A-5 A-6 B- Composition of the Team Personnel A-7 Composition of the Team Personnel and the Tasks to be Assigned to each Team Member A-8 Work Plan and Time Schedule for Key Personnel (Man-months of staff and Project Duration as per TOR)

Note: Failure to provide required attachments with Form A-1 will entitle the Client to reject the proposal

<sup>&</sup>lt;sup>1</sup> The total number of pages for combined forms A-3 and A-4 should not exceed 50. A page is defined as one printed side of A4 or letter-size paper with font size of 10 or more. In case of non-compliance, scoring for proposal clarity and presentation will be reduced.

### TECHNICAL PROPOSAL SUBMISSION FORM

(To be required from lead firm only)

{Location, Date}

To: [Name and address of Client]

Dear Sirs:

We, the undersigned, offer to provide the ... [NAME OF THE PROJECT]....in accordance with your Request for Proposals. We are hereby submitting our Proposal, which includes this Technical Proposal and a Financial Proposal sealed in a separate envelope.

(If the Consultant is a joint venture, insert the following):

We are submitting our Proposal in a joint venture with: (Insert a list with full name and the legal address of each member and indicate the lead member). We have attached a copy of our letter of intent to form a joint venture, which details the likely legal structure of and the confirmation of joint and severable liability of the members of the said joint venture.

### We hereby declare that:

- (a) All the information and statements made in this Proposal are true and we accept that any misinterpretation or misrepresentation contained in this Proposal may lead to our disqualification and/or imposition of any sanction by the client.
- (b) Our Proposal shall be valid and remain binding upon us for the period of time specified in the Data Sheet, Clause 4.5.
- (c) We have no conflict of interest in accordance with ITC Clause 1.9.
- (d) We meet the eligibility requirements as stated in Data Sheet Clause 1.8.
- (e) Neither we, nor our JV Partner(s) or any of the proposed experts prepared the TOR for this consulting assignment.
- (f) Within the time limit stated in the Data Sheet, Clause 4.5, we undertake to negotiate a Contract on the basis of the proposed Key Personnel. We accept that the substitution of Key Personnel for reasons other than those stated in ITC, Clause 6.5 may lead to the termination of Contract negotiations.
- (g) Our Proposal and any modifications resulting from the Contract negotiations is binding upon us.
- (h). Our firm/ each member of our JV is not participating in any other proposal for this Project.

We undertake, if our Proposal is accepted and the Contract is signed, to initiate the Services

related to the Project not later than the date mentioned in Data Sheet 4.5 (or the date extended with the written consent of Consultant in case of delay in procurement process)

We understand that the Client reserves the right to reject all proposals as per PPRA Rules.

We remain,		
Yours sincerely,		
Signature of Authorized Representative* of the Lead Firm:		
{In full}	{and initial}	
Name and Title of Signatory:		
Name of Consultant (Firm's name or JV's name):		
In the capacity of:		_
Address:		
Contact information (phone and e-mail):		



<sup>\*</sup> The above signatory or his authorized representative should attend the proposal submission and opening with authority to sign and stamp any missing pages of proposal in line with instructions given in clause 1.8 of the Data Sheet. The specimen of authorization for submission is given at Annexure-D.

### **CLIENT'S REFERENCE**

- > A. Detail about consultant(s) Organization.
  - o Hierarchy/ organizational chart, Office address, Employees details, etc.,
- ➤ B. Relevant Services (as per RFP notice) carried out in the last ten years (10) which best illustrate qualifications
  - o General experience in road Transport Sector; maximum ten (10) best projects completed. (to be provided in tabular form showing cost, duration, man-months and brief description of services performed)
  - o Specific experience maximum five (05) projects completed in the last ten (10) years, related to particular assignment, should be given on following format:

Using in the format below, provide information on each reference assignment for which your firm, either individually as a corporate entity or as one of the major companies within a consortium, was largely contracted.

Assignment Name:		Country.		
Location within Country:		Professional Staff Provided by Your Firm:		
Name of Client:		No. of Staff:		
Address:	<del></del>	No. of Staff Months:		
Start Date (Month/Year): Completion (Month/Year):		Approx. Value of Services (in Current US\$/Rs.)		
Name of Associated Firm (s), if any:		No. of Months of Professional Staff Provided by Associated Firm(s)		
Name of Senior Staff (I performed:	tor, Team Leader) involved and functions			
Narrative Description of Project				
Description of Actual Services Provided by Your Staff				

1,	artative Description of Project
D	escription of Actual Services Provided by Your Staff
	C. Performance/ Client Satisfaction Certificates (at least for the last three projects/assignment completed in the last five years) clearly mentioning the performance on the project.  Insultants' Name:

Form A-3

# APPROACH PAPER ON METHODOLOGY PROPOSED FOR PERFORMING THE ASSIGNMENT

(Form TECH-3: a description of the approach and methodology for performing the assignment, including a detailed description of the proposed methodology, work programme, site visit detail and so forth along with training, if the Terms of Reference specify training as a specific component of the assignment.)



### **COMMENTS/SUGGESTIONS OF CONSULTANT**

[Provide here comments and suggestions on the Terms of Reference that could improve the quality/ effectiveness of the Assignment; and on requirements for counterpart staff and facilities, which are provided by the Client, including: administrative support, office space, local transportation, equipment, data, etc., separately under Forms Form-4A and Form-4B respectively.]

A. On the Terms of Reference (TOR) 1.

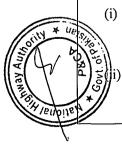
2. Etc.,

B. On the data, services and facilities to be provided by the Client specified in the TOR.

2. Etc. Note:

1.

- 1. The Consultant may propose a team of experts to best achieve the scope of service and activities and to deliver outputs as required in TOR. Proposed changes in position/individual inputs should be indicated and reasoned in the Technical Proposal but incorporated only in the Financial Proposals (showing excess/saving, in datum Price as worked out with the person months indicated in the RFP, which must be clearly bifurcated and marked red at each place for acceptance or otherwise by the Client at its prerogative during negotiations).
  - (i) The Proposal may assign person-month inputs differently from TOR. However, Key Personnel input totals in the Proposal should not be less than the minimum totals of person-months inputs mentioned in Data Sheet Sub-Clause-3.1.4 respectively.
  - (ii) The Proposal may include additional expert position/s. However, additional expert will be considered Non Key Personnel for the purpose of proposal evaluation.
  - (iii) If the Proposal drops or replaces a Key Personnel position with a different one, the original position will receive zero score in the technical evaluation and the new position added in the Proposal will be considered Non Key and will not be evaluated.
  - (iv) DO NOT INCLUDE EXCESS/SAVING INFORMATION IN TECHNICAL PROPOSAL. If Technical Proposal includes financial information, the Proposal will be rejected under Clause-3.1.5 of ITC.
- When the Consultant suggests a change in scope of service, activities or output, the Consultant must describe the details in Form-4A and the change should not be incorporated in the Proposal. Enumerate each suggestion in Form-4A with incremental cost as a separate attachment to Financial Proposal indicating breakdown into individual remuneration and expenses for each suggestion. Forms A-11 to 17 should be prepared without incorporating the changes.
  - (i) If Financial Proposal provides no separate attachment about incremental cost to a suggestion, the suggestion will be considered at no additional cost to the Client and no negotiations for an incremental cost shall be done;
    - i) DO NOT INCLUDE INCREMENTAL COST INFORMATION IN TECHNICAL PROPOSAL. If Technical Proposal includes financial information, the Proposal will be rejected under Clause-3.1.5 of ITC.



### FORMAT OF CURRICULUM VITAE (CV) FOR PROPOSED KEY STAFF

1.	Proposed Position:
2.	Name of Firm:
3.	Name of Staff:
4.	Profession:
5.	Date of Birth:
5.	Years with Firm:
7.	Nationality:
8.	N.I.C Number:
9.	Cell Number:
10.	Membership in Professional Societies:  (Membership Certificate of PEC/relevant council is Mandatory for Engineers. Copy of online updated PEC/relevant council details, as per Membership Number will be attached)
11.	Detailed Tasks Assigned on the Project:

### ♦ Key Qualifications:

[Give an outline of staff member's experience and training most pertinent to tasks on assignment. Describe degree of responsibility held by staff member on relevant previous assignments and give dates and locations. Use up to one page].

### ♦ Education

[Summarize college/university and other specialized education of staff member, giving names of institutions, dates attended and degrees obtained. Mention majors/research work carried out during Post Graduation].

### ♦ Employment Record

[Starting with present position, list in reverse order every employment held. List all positions held by staff member since graduation, giving dates, names of employing organizations, title of positions held and location of assignments. For experience, also give types of activities performed and Client references, where appropriate].

### ♦ Languages

[Indicate proficiency in speaking, reading and writing of each language: excellent, good, fair, or poor].

### ♦ Certification

I, the undersigned, certify to the best of my knowledge and belief that

- (i) This CV correctly describes my qualifications and experience.
- (ii) I am not a current employee of the Executing or the Implementing Agency.
- (iii) In the absence of medical incapacity, I will undertake this assignment for the duration and in terms of the inputs specified for me in Form A-9 provided team mobilization takes place within the validity of this proposal.
- (iv) I was not part of the team who wrote the terms of reference for this consulting services assignment
- (v) I am not currently debarred by any department/organization/ (semi-autonomous/ autonomous) bodies or such like institutions in Pakistan.
- (vi) I certify that I have been informed by the firm that it is including my CV in the Proposal for the {name of project and contract}. I confirm that I will be available to carry out the assignment for which my CV has been submitted in accordance with the implementation arrangements and schedule set out in the Proposal.

If CV is signed by the firm's authorized representative:

- (vii) I, as the authorized representative of the firm submitting this Proposal for the {name of project and contract}, certify that I have obtained the consent of the named expert to submit his/her CV, and that s/he will be available to carry out the assignment in accordance with the implementation arrangements and schedule set out in the Proposal, and confirm his/her compliance with paras (i) to (v) above.
- (viii) Latest colored attested photograph stapled attached with the CV.

I understand that any willful misstatement described herein may lead to my disqualification or dismissal, if engaged.

Signature of candidate/ authorized	Date:
representative of the Lead firm	Day/Month/Year

Note: copy or scanned signatures are not allowed



# COMPLETION AND SUBMISSION OF REPORTS AS PER TOR

	Reports		Date	
1.				
2.				
3. ·				
4.		.,		
5.,				
6.				
7.	—			
8.	1	•		
9.				



### COMPOSITION OF THE TEAM PERSONNEL AND THE TASKS TO BE ASSIGNED TO EACH TEAM MEMBER

### . Technical/Managerial Staff

NAME	Position	Tasks Assignment	Present location	Name of assignment involved and clients name
		-		
		,		



### Form A-8

### WORK PLAN / ACTIVITY SCHEDULE

Items of Work/Activities		Monthly Program from date of assignment (in the form of a Bar Chart)													
	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
*															
													-		



Form A-9

# WORK PLAN AND TIME SCHEDULE FOR KEY PERSONNEL

Name	Position		Months (in the form of a Bar Chart)							Number of Months							
		1	2 3 4 5 6 7 8	9	10	11	12	13	14	15							
															-		
															5		
				•													

Full Time:		
Part Time:	Activities Duration	

Yours faithfully,

	y Autho	
	8	(a)
	/ ~ \	
X	# GoV	

Signature							
(Authorized Representative)							
•							
Full Name							
Designation							
Address							

C

### **CURRENT COMMITMENTS OF THE FIRM**

### (List MUST be comprehensive including projects from clients other than NHA as well)

Name of project	Single or JV	Task Assignment	Start date of the project	Expected date of completion



5.91

### Annex-A

# Specimen (On Lead Firm's letterhead)

### **LETTER OF INTENTION**

### Subject: Technical and Financial Proposals for Consultancy Services for (Name of Project)

i iiis Joiiit	venture (3 v ) is made among tonown	g parties,
1)	M/s	_as Lead Firm having% share.
2)	M/s	_as JV Partner having% share.
3)	M/s	_as JV Partner having% share.
4)	M/s	as JV Partner having % share.

The above firms are jointly and severally liable to the Client for preparation of Technical and Financial Proposals for Consultancy Services for "[NAME OF THE PROJECT]" (hereinafter called "The Project").

The Firm hereto confirm the understanding as follows:

This Joint Venture (IV) is made among following parties:

### 1. Objective

It is hereby agreed to form a Joint Venture for preparation of Technical and Financial Proposals for Consultancy Services for "The Project" to be submitted to National Highway Authority, Islamabad (hereinafter called "The Client").

The Parties intend to do the following:

- a. Prepare and submit a mutually agreed Technical and Financial Proposals for the
   Project;
- b. Agree to propose suitable staffing with high level of competence to form a competitive team for the Project.
- c. Enter into the mutually agreed Consultancy Contract Agreement with the Client, if the project is awarded.



Perform all the services to be undertaken for the Project under the Consultancy Contract Agreement if signed.

2.	The authorized representative of JV shall be M/s	for the future official
	correspondence with the client on behalf of JV.	

3. The original letter of intention(s) of the JV member(s) on their letterhead is/are attached at... (for Lead Firm only)

For and on behalf of	
	•••••
Sign & Seal of the Firm	
(Authorized Representative* of the Firm)	

- \* Authorized Representative to sign the Letter of Intention can be;
  - o For Sole Proprietor firm; Owner of the Firm, otherwise Owner may authorize any person. (Provided Authorization Letter be submitted)
  - o For Partnership firm; Director of the Firm; otherwise, authorized personnel (provided Authorization Letter be submitted).
  - o For Private Limited firm, Director of the Firm, otherwise, authorized personnel (provided Authorization Letter be submitted).
  - o For Public Private Limited firm; Director of the Firm, otherwise, authorized personnel (provided Authorization Letter be submitted).



Annex-B

# (Regarding Blacklisting)

•		
nature of Authorized oresentative of the firm(s)	Date:	
(0)	2 3/1/2011111 2 441	
(Seal)		
· .		
	Attested by	
	the Oath	
avelue	Commissioner	
as us	Attested by the Oath Commissione	

- the Oath Commissioner.
- In case of Single Entity, to be provided by the firm. In case of JV, to be provided by all the JV members

## Annex-C

# <u>UNDERTAKING</u> (Regarding Personnel Availability)

Subject: [NAME OF THE PROJECT]

I, the undersigned, do solemnly declare that	the proposed personnel shall be available for the
subject assignment in the project duration	as per the terms and condition specified in the
Request for Proposal (RFP).	
·	
Signature of Authorized	Date:
Representative of the Lead firm	Day/Month/Year
(Cool)	
(Seal)	



Attested by the Oath Commissioner

Note: The Affidavit, on Stamp Paper of minimum Rs. 30/- duly attested by the Oath Commissioner, is to be submitted by the Lead firm only.

Consultancy Services for Third Party Review of PC-I of Electrical & Mechanical Works of Lowari Tunnel Project.

1 35 . W. 188

Annex-D

General Manager (P&CA) National Highway Authority, Islamabad, **Pakistan** 

# <u>Power of Attorney</u> (Regarding submission of proposal)

Subject: [NAME OF THE PROJECT]

Dear Sir,				
I, the undersigned, authorize	Mr		S/o Mr	having CNIC No
to attend	the submission	on and Open	ing of Prope	osals on behalf of all JV
members. (Insert name of sole	consultant in	case of sing	le entity else	name of all JV members)
He is authorized to attend, sub-	mit, sign and	stamp any mi	issing pages	of the proposal (Technica
and Financial) for above-ment	tioned project	t on ( <i>Insert</i>	date).	
		ř		
		y.		
Signature:	Initial	;	Date:	•
Authorized Representative			_	Day/Month/Year
. [Name & Designation]			•	
Signature:	Initial	•		
		· · · · · · · · · · · · · · · · · · ·		
Nominated Authorized Repres	sentative "		•	
Name & Designation]		,		•



# Annex-E

General Manager (P&CA) National Highway Authority, Islamabad, **Pakistan** 

# **POWER OF ATTORNEY**

(To sign the Contract Agreement which will be submitted by successful consultant at the time of signing of contract)

Dear Sir,	
of [Name of	MrS/o Mr having CNIC No.  the Lead Firm] to sign the Contract Agreement of the project
	on behalf of [CONSULTANT NAME]. Furthermore,
<del></del>	is the authorized representative as per General Conditions of
Contract and Special Condition o	of Contract (Clause 1.6) for execution of the Contract.
Signature: I Authorized Representative [Lea [Name & Designation]	Day/Month/Vear
Signature:	
Name:	If more than one owner or Board
[Designation]	of Directors, then the consultant may add other names as per
[Consultant Name]	requirements.
:	+ Gov
:	

Consultancy Services for Third Party Review of PC-I of Electrical & Mechanical Works of Lowari Tunnel Project.

فجواهي والمواردة ومزاره المسا

Financial Proposal Forms

Say No to Corruption

# FINANCIAL PROPOSAL FORMS



## FINANCIAL PROPOSAL SUBMISSION FORM

ł	1	ocation,	Date)
1	٠.	ocanon,	Daw

To: [Name and address of Client]

Dear Sirs:

We, the undersigned, offer to provide the consulting services for [Insert the Project Name]in accordance with your Request for Proposal dated [Insert Date] and our Technical Proposal.

Our attached Financial Proposal is for the amount of {Insert amount in words and figures}, including all Federal, Provincial &local taxes applicable as per law of the land. {Please note that all amounts shall be the same as in Financial Proposal Form A-17}.

Our Financial Proposal shall be binding upon us subject to the modifications resulting from Contract negotiations, up to expiration of the validity period of the Proposal, i.e. before the date indicated in Clause 4.5 of the Data Sheet.

We confirm that we have no condition to state that may have financial implications over and above the amount quoted above.

We understand you are not bound to accept any Proposal you receive.

We remain,	
Yours sincerely,	v.
Authorized Signature* {In full}	{and initial}
Name and Title of Signatory:	
Name of Consultant (Firm's name or JV's na	ame):
In the capacity of:	
Address:	
Contact information (phone and e-mail):	

\* The above signatory or his authorized representative should attend the proposal submission and opening with authority to sign and stamp any missing pages of proposal in line with instructions given in clause 1.8 of the Data Sheet.



Project:

### Form A-12

### BREAKDOWN OF RATES FOR CONSULTANCY CONTRACT

Consultant:

Name	Position	Name of the Firm	Basic Salary per Cal. Month	Social Charges (%age of 1)	Basic Salary+ Social Charges (1+2)	Overhead (%age of 3)	Sub- Total (3+4)	Fee (%age of 5)	Rate per Month for project Office (5+6)	Field Allow. (%age of 1)	Rate per Month for Field Work (7+8)	Rate to be used in Financial Proposal
			(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)

#### Notes:

- Item No. 1 Basic salary shall include actual gross salary before deduction of taxes.

  Furthermore, basic salary should at least meet the minimum wages (for support staff) as per Federal Labor Law or Provisional Labor law, whichever the case and the individual staff shall be paid their salaries as per basic rates specified herein above.
- Item No. 2 Social charges shall include Client's contribution to social security, paid vacation, average sick leave and other standard benefits paid by the company to the employee. Breakdown of proposed percentage charges should be submitted and supported (see Form A-13).
- Item No. 4 Overhead shall include general administration cost, rent, clerical and junior professional staff and business getting expenses, etc. Breakdown of proposed percentage charges for overhead should be submitted and supported (see Form A-14).
- Item No. 6 Fee shall include company profit and share of salary of partners and directors (if not billed individually for the project) or specified in overhead costs of the Company.
- Item No. 8 Normally payable only in case of field work under hard and arduous conditions.
  - Note 1 The consultant is to provide appointment letter and affidavit/undertaking duly signed by each of the individual staff members showing salary rates as above to the project authorities. Further during execution each invoice will also be provided showing that the staff have been paid their salaries as per basic rates specified therein. Failing to which, the Client will take punitive action against the consultant and shall deduct the deficient amount from his monthly invoice. Moreover, it will be considered as a negative mark on his performance that will be considered for future projects.

Full Name:			
Signature:		<u></u>	
Title:	•		
<del></del> .	<u></u>		

Authori

## Form A-13

## **BREAKDOWN OF SOCIAL CHARGES**

C. N.	D. A. H. J. D. A.	As a %age of Basic Salary*			
Sr.No.	Detailed Description	Firm-1	Firm-2	Firm-3	
			<del> </del>		
·					
	2.				
	· ·				

\*Note: Each Firm shall use its own social charges (%age) for calculating salaries of its staff i.e. 'Rate to be used in Financial Proposal' in Form A-12.



## Form A-14

# **BREAKDOWN OF OVERHEAD COSTS**

Sr.No.	Detailed Description	As a %age of Bas Social Cha			
	Detailed Description	Firm-1	Firm-2	Firm-3	
				1	
			<u> </u>		
			<del> </del>	<u> </u>	
# ·					
			<del> </del>		
				<u> </u>	
	•				
			<del> </del>		
<u> </u>					
			1		

\*Note: Each Firm shall use its own overhead charges (%age) for calculating salaries of its staff i.e. 'Rate to be used in Financial Proposal' in Form A-12.



## Form A-15 Page 1 of 2

# A - ESTIMATED LOCAL CURRENCY SALARY/ REMUNERATION COSTS EQUIVALENT IN US DOLLARS

[Ref	er also to Notes under Form A-4]				
Sr. No.	Name	Position	Person- Months	Monthly Billing Rate (US \$)	Total Estimated Amount (US \$)
A.	All Foreign Expatriates including	Foreign Spe	cialist Sub-c	onsultant (if any)	Ň.
•			~((		
		<		((	
		6			
	<	(0)			
	1			Sub-Total:	
		5			
		-			



Form A-15 Page 2 of 2

#### ESTIMATED LOCAL CURRENCY SALARY COSTS/REMUNERATION

Sr. No.	Position	No. of persons	Individual Man Months	Monthly Billing Rate	Total Estimated Amount (Rs.)		
1	Team Leader/ Tunnel Engineer	1	2				
2	Electrical & Mechanical (E&M) Expert for Tunnel	1	2				
3	Quantity Surveyor	1	2				
4	Computer Operator	1	2				
,	Sub Total:						

Note: The Consultants are required to quote the rates of Non-Key/Support Staff given in the TOR in above table keeping in view the latest/prevailing notification for minimum wages (i.e., Basic salary) issued by the concerned Labor and Manpower department/Ministry. The Consultant(s) may propose Non-Key/Support Staff Person-Months in addition to those given in TOR; however, in such a case tenable reason must be given in the Technical Proposal Submission Form A-4 "Comments on TOR". The Client's negotiation committee will deliberate on the requirement of additional staff during negotiation meeting. It is also to be noted that the Client is not bound to agree to the reasons given in Form A-4.



## Form A-16

# **DIRECT (NON-SALARY) COSTS**

Sr. No.	Nomenclature	Unit	Qty.	Unit Price (Rs.)	Total Amount (Rs.)
1.	Office/ Res Accommodation Rent	P.M	2		
2.	Maintenance of Office/ Res Accommodation Including Utilities and Office Expenses	P.M	2		
3.	Stationary/toner, printing & Binding etc	P.M	2		
4.	Communication Charges etc.	P.M	2		
5.	Others not covered above to comply with TOR requirement *				
	Total				

NOTE:\* Any additional item/ cost quoted against this line item must have provided solid/ tenable justification(s) detailed in Technical Proposal Submission Form A-4 "Comments on TOR" without indicating financial value therein. It is also to be noted by the Consultants that the Client is not bound to agree to the reasons given in Form A-4. \* Cost quoted against lump sum items (mentioned in above table) is all inclusive and remuneration of staff (if required) shall not be charged separately.



## **SUMMARY OF COST**

Sr. No.	Description	Amount (Rs.)
1.	Salary Cost/Remuneration	
2.	Direct (Non-Salary) Cost	
3.	Sub Total (1+2):	
4.	Sales Tax @16% on Item No. 3 above which shall be kept as provisional Sum in the Contract Agreement (3)	
	Grand Total <sup>(1)</sup> :	

Note:

- 1- This cost is supposed to be built up in bid price and if anything is left blank it shall be deemed to be included in the cost. For evaluation purpose, only competitive cost shall be considered for calculation of financial score.
- 2- The dues and salaries (as per basic rate) of staff are payable by the consultant in time and not later than 10<sup>th</sup> of the following month positively. In case of failure to do so Client shall intervene and pay these dues and salaries of the concerned Personnel and recover from the invoice of the consultant at actual charges paid plus 1% of the amount. This will also be accounted for adversely in making assessment of the Consultants in the next evaluation process for selection of consultants with report of such defaults.
- 3- Any Omission or arithmetical error made by the consultants in entering the amount against item 4 above shall also be rectified during evaluation of the Financial Proposal. Deduction of GST will be as per prevailing rules and regulations.
- 4- The grand total is inclusive of all the applicable Federal, Provincial and Local taxes. All these taxes are required to be built in the quoted rates and GST is to be mentioned separately.



#### 1. PROPOSAL SECURING DECLARATION

[The Consultant shall fill in this Form in accordance with the instructions indicated.]

Date: [insert date (as day, month and year)]

Proposal No.: [insert number of Proposal process]

Alternative No.: [insert identification No if this is a Proposal for an alternative]

To: [insert complete name of Procuring Agency]

We, the undersigned, declare that:

We understand that, according to your conditions, Proposals must be supported by a Proposal Securing Declaration.

We accept that we will automatically be suspended from being eligible for Bidding in any contract with the Procuring Agency for the period of time as determined by the Authority if we are in breach of our obligation(s) under the Proposal conditions, because we:

- (a) have withdrawn or modified our Proposal during the period of Proposal Validity specified in the Form of Proposal;
- (b) Disagreement to arithmetical correction made to the Proposal price; or

I having been notified of the acceptance of our Proposal by the Procuring Agency during the period of Proposal Validity, (i) failure to sign the contract if required by Procuring Agency to do so or (ii) fail or refuse to furnish the Performance Security or to comply with any other condition precedent to signing the contract specified in the SRFP Documents.

We understand this Proposal Securing Declaration shall expire if we are not the successful Service Provider, upon the earlier of (i) our receipt of your notification to us of the name of the successful Service provider; or (ii) twenty-eight (28) days after the expiration of our Proposal.

Signed: [insert signature of person whose name and capacity are shown] In the capacity of [insert legal capacity of person signing the Proposal Securing Declaration]

Name: [insert complete name of person signing the Proposal Securing Declaration]

Duly authorized to sign the Proposal for and on behalf of: [insert complete name of Service Provider]

Dated	on	day of	 	[insert	date	of	signing)
Corpor	rate Seal	(where appropriate)					



Consultancy Services for Third Party Review of PC-I of Electrical & Mechanical Works of Lowari Tunnel Project.

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Say No to Corruption

Terms of Reference

# **APPENDIX-A**

# TERMS OF REFERENCE

(TOR)



# TERMS OF REFERENCES/ DESCRIPTION OF SERVICES

#### FOR SHORT-TERM CONSULTANT

#### 1. Project Description

The NHA intends to carry out the provision of Electrical & Mechanical (E&M) works along with the allied structures and the balance civil works of Bridges.

NHA has developed a PC-I based on the available design and data on the project.

NHA now intends to hire the services of Short-term Consultants for the Review of the all component and validation of PC-I.

## 2. Scope of services

- a) To review the provision of Electrical & Mechanical (E&M) components in the PC-I, duly confirmed/validated with the preliminary design of the E&M Works of the Tunnel.
- b) Evaluate the cost of E&M components, including source confirmation, base rates, and derived costs.
- c) Assess the cost as per updated/latest CSR for bridges, allied buildings, control & administrative buildings, etc.
- d) Analyze and estimate the contingency cost, considering the remaining payable amount for the main project and pending liabilities of the Consultant and Contractor.
- e) Examine the cost of certified/determined claims and calculate the estimated claims.
- f) Conduct a traffic count and perform an economic analysis of the project.
- g) Review and update the PC-I document to reflect the latest findings and recommendations.

#### 3. Source of funding

The project will be funded through NHA road asset management account (RMA).

1 of 3

## 4. Mode of payment

Sr. #	Description of Items	% of Payment
1	Review and submit the report on provision of	20%
•	Electrical & Mechanical (E&M) Components	20,0
0	Reconnaissance Survey Report, Traffic & Axle	2004
2	-Survey-	2070
	Submission of cost as per updated/latest CSR	
3	for bridges, allied buildings, control &	20%
,	administrative buildings, etc	
	Submission of Traffic Count Report and	20%
4	Economic Analysis of the Project	20%
5	Submission of final PC-I	20%
	Total	100%

## 5. Duration for the Services

Time duration of consultancy services will be two (02) months extendable to further one (01) months without any financial effect, for completion of job in all respect.

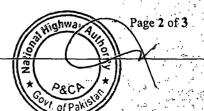
## 6. Manpower Requirements

Sr. No.	Expert Position	No. of Persons	Individual Man- Months	Total Estimated Man-Months
а	Team Leader/ Tunnel Engineer	1	2	2
b	Electrical & Mechanical (E&M) Expert for Tunnel	1	2 ·	2
,c	Quantity Surveyor	1	2	2
d	Computer Operator	1	2	2

#### 7. Minimum Criteria of the Firm

## a. Eligibility:

Valid Registration Certificate of Pakistan Engineering Council with project profile code of 1215 (ii) Tunnels.

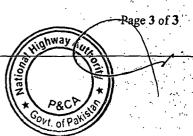


# b. Experience:

- Maximum five (05) best projects completed/substantially completed, indicating their worth
- Maximum three (03) Tunnel related projects

# 8. Key staff and qualifications

Key person	Description
	Education: Preferably PhD (Geotechnical Engineering with Experience in Tunnel Engineering) or minimum M.Sc. (Tunnel Engineering/ Geotechnical Engineering/ Soil Mechanics).
(1) Feam Leader/ Tunnel Engineer	<b>Experience:</b> Preferably Ten (10) years design experience as Team Leader/ Geotechnical Expert on Highways/ Roads/Tunnels Projects.
	Rating: PhD. with relevant training – 100%; PhD. without relevant training – 90%; M.Sc. with relevant training – 80%; M.Sc. without relevant training – 70%.
(2) Electrical &	Education: Preferably PhD in (Electrical/Mechanical Engineering with Experience in E&M works of Tunnel Engineering) or Minimum M.Sc (Electrical/Mechanical Engineering with Experience in E&M works of Tunnel Engineering).
Mechanical (E&M) Expert for Tunnel	<b>Experience:</b> Preferably ten (10) year relevant experience [proven five (05) year experience as E&M Expert on Highway and Tunnel design projects].
	Rating: PhD. with relevant training – 100%; PhD. without relevant training – 90%; M.Sc. with relevant training – 80%; M.Sc. without relevant training – 70%.
	<b>Education:</b> Preferably B.Sc. (Civil Engineering) or minimum DAE (Civil).
(3) Quantity Surveyor	<b>Experience:</b> Preferably Fifteen (15) years design experience as Quantity Surveyor on Highways/ Road Projects. <b>Rating:</b> B.Sc. with relevant training – 100%; B.Sc. without relevant training – 90%; DAE (Civil) with relevant training –
	(2) Electrical & Mechanical (E&M) Expert for Tunnel



# **APPENDIX B**

# (List of Supporting Documents)

S. No	Description	Page No
1.	Valid Registration Certificate of Pakistan Engineering Council with Project Profile Code of 1215 (ii). In case of JV member, experts proposed by each consultant should have relevant project profile code of 1215 (ii) Highways/Bridges & Tunnels. In case of formation of JV with foreign consultant, in such case foreign consulting firms shall make JV in accordance with Byelaw 6(2) and Byelaw 9 of the Pakistan Engineering Council (Conduct and Practice of Consulting Engineers) Bye-Laws 1986. Failure to comply with the above will result in rejection of proposal.	
2.	Lists of facilities available with the Consultant to perform their functions effectively (software, hardware, etc.). In case of JV, the same will be provided by the lead firm only.	
3.	Client's satisfaction certificates (Performance Reports) for the last three relevant assignments from the respective Clients.	
4.	Affidavit on stamp paper duly attested by the Oath Commissioner to the effect that the firm has neither been blacklisted nor any contract rescinded in the past for non-fulfillment of contractual obligations	
5.	Firm affidavit for availability of personnel.	
6.	Letter of Intention on lead firm's letter head along with original letter of intention of all JV members.	
7.	Power of attorney or authorization letter of authorized person of the lead firm.	
8.	While engaging in Public Procurement contracts worth Rs. 50 million and above, each Consultant (lead and their JV Members) shall provide duly filled Performa of "Declaration of Ultimate Beneficial Owners Information for Public Procurement Contracts", in their Financial Proposals, which is attached as Annexure-I at the end of this RFP.	
9.	Any other document.	



# **APPENDIX C**

MAN-MONTH AND ACTIVITY SCHEDULE

To estimate Consultant's inputs and costs for the assignment, man-month and activity schedules are to be provided as per enclosed format (Forms A7 and A8). These two schedules should correlate.



#### APPENDIX D

#### CLIENT'S REQUIREMENTS FROM THE CONSULTANTS

CLIENT'S
REQUIREMENTS
FROM THE
CONSULTANTS

Some important requirements are:

- 1. Selecting a Consulting Engineer is one of the most important decisions an owner or Client makes. The most important standards for this are technical competence, managerial ability, professional integrity and fairness of fee structure. The Client will seek information on all these aspects by:
  - a. Obtaining comprehensive written information from the Consultant in form of RFPs and should be completed in full providing all details as correctly known as possible. It has been experienced that some Consultants try to hide their deficiencies viz-a-viz the requirements of TOR by making unclear and vague statement. It will be policy of evaluators that vague statement and lack of clarity in proposals on specific issues may be reason to downgrade the rating.
  - b. Talking to the senior personnel of the Consultants.
  - c. Consulting their Clients.
  - d. Viewing the projects that they have accomplished and visit the users.
  - e. Visiting the premises of the Consultant and examining systems and method of works as well as hardware and software abilities available. Senior Management (minimum Director level) shall regularly visit the site at least once a month and hold meeting with the Client's representative.
  - f. The approach and methodology proposed including work plan, activity and man-month schedule should be meaningful and fully coordinated to judge the understanding of the proposed assignment by the Consultant.
- 2. For Items (b) to (e), the inspection can be held any time prior to or after award of work to the Consultants. During the inspection if the scenario found is not compatible with what is presented during presentations or as per Contract, the Consultant is liable for action debarring for two (2) years for future projects which may or may not include black listing action (in accordance with Rule 19 of the Public Procurement Rules, 2004).



# **APPENDIX E**

PERSONNEL, EQUIPMENT, FACILITIES AND OTHERS SERVICES TO BE PROVIDED BY THE CLIENT.

AS PER TOR

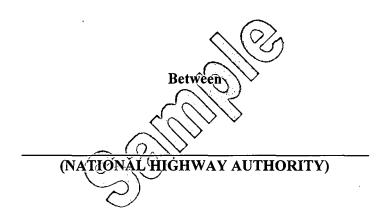


# **APPENDIX-F**

**COPY OF MODEL AGREEMENT** (To be finalized during Negotiations)



# Contract for Engineering Consultancy Services (Lump Sum)



And

# (NAME OF THE CONSULTANTS)

**FOR** 

Consultancy Services for Third Party Review of PC-I of Electrical & Mechanical Works of Lowari Tunnel Project

Month and Year



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#### IV APPENDICES

Appendix A-Description of the Services

Appendix B-Reporting Requirements

Appendix C-Key Personnel and Sub consultants

Appendix D-Breakdown of Contract Price in Foreign Currency

Appendix E-Breakdown of Contract Price in Local Currency



Appendix F-Services and Facilities to be Provided by the Client Appendix G-Integrity Pact Appendix H-Minutes of Pre-Proposal Meeting along with addendum

V ALTERNATE TITLE PAGE IN CASE OF JV ALTERNATE FORM OF CONTRACT IN CASE OF JV





#### FORM OF CONTRACT

- [Notes: 1. Use this Form of Contract when the Consultants perform Services as Sole Consultants.
  - 2. In case the Consultants perform Services as a Member of the joint venture, use the Form included at the end.
  - 3. All notes should be deleted in the final text.]

This CON	TRACT (herei	inafter called the	"Contract")	is made on	the day	of mont	ih) of
(year), bet	ween, on the or	ne hand		·			
(Hereinaft	er called the "C	Client" which exp	pression shall	include the	successors,	legal repre	sentatives
and	permitted	assigns)	and,	on	the	other	hand,
						(h	ereinafter
called the	"Consultants"	which expression	on shall includ	de the succ	essors, lega	l representa	atives and
permitted	assigns).						

#### **WHEREAS**

- (a) the Client has requested the Consultants to provide certain consulting services as defined in the General Conditions of Contract attached to this Contract (hereinafter called the "Services"); and
- (b) the Consultants, having represented to the Client that they have the required professional skills, and personnel and technical resources, have agreed to provide the Services on the terms and conditions set forth in this Contract;

NOW THEREFORE the Parties hereby agree as follows:

- 1. The following documents attached hereto shall be deemed to form an integral part of this Contract:
  - (a) the General Conditions of Contract;
  - (b) the Special Conditions of Contract;
  - (c) the following Appendices:

[Note: If any of these Appendices are not used, the words "Not Used" should be inserted below/next to the title of the Appendix and on the sheet attached hereto carrying the title of that Appendix.]



Appendix A: Description of the Services

Appendix B: Reporting Requirements

Appendix C: Key Personnel and Sub consultants
Appendix D: Breakdown of Contract Price in Foreign Currency

Appendix E: Breakdown of Contract Price in Local Currency

Appendix F: Services & Facilities to be Provided by the Client Appendix G: Integrity Pact (for Services above Rs.10 million)

- 2. The mutual rights and obligations of the Client and the Consultants shall be as set forth in the Contract, in particular:
  - (a) the Consultants shall carry out the Services in accordance with the provisions of the Contract; and
  - (b) the Client shall make payments to the Consultants in accordance with the provisions of the Contract.

IN WITNESS WHEREOF, the Parties hereto have caused this Contract to be signed in their respective names in two identical counterparts, each of which shall be deemed as the original, as of the day, month and year first above written.

	For and on benail of
Witness	(CLIENT)
Signatures	Signatures
Name	Name
Title	Title
	(Seal)
	For and on behalf of
	· · · · · · · · · · · · · · · · · · ·
Witness	(CONSULTANTS)
Signatures	Signatures
Name	Name
Title	Title
	(Seal)



#### II. GENERAL CONDITIONS OF CONTRACT

#### 1. GENERAL PROVISIONS

#### 1.1 Definitions

Unless the context otherwise requires, the following terms whenever used in this Contract have the following meanings:

- (a) "Applicable Law" means the laws and any other instruments having the force of law in the Islamic Republic of Pakistan, as those may be issued and in force from time to time;
- (b) "Contract" means the Contract signed by the Parties, to which these General Conditions of Contract (GC) are attached, together with all the documents listed in Clause 1 of such signed Contract;
- (c) "Contract Price" means the price to be paid for the performance of the Services, in accordance with Clause 6;
- (d) "Effective Date" means the date on which this Contract comes into force and effect pursuant to Sub-Clause 2.1;
- (e) "GC" means these General Conditions of Contract;
- (f) "Government" means the Government of the Islamic Republic of Pakistan and/or Provincial Government(s);
- (g) "Foreign Currency" means currency other than the currency of Islamic Republic of Pakistan.;
- (h) "Local Currency" means the currency of the Islamic Republic of Pakistan;
- (i) "Member" in case the Consultants consist of a joint venture of more than one entity, means any of the entities, and "Members" means all of these entities;
- (j) "Party" means the Client or the Consultants, as the case may be, and "Parties" means both of them;
- (k) "Personnel" means persons hired by the Consultants or by any Sub consultant as employees and assigned to the performance of the Services or any part thereof;



- (I) "SC" means the Special Conditions of Contract by which the GC are amended or supplemented;
- (m) "Services" means the work to be performed by the Consultants pursuant to this Contract, as described in Appendix A;

- (n) "Sub consultant" means any entity to which the Consultants subcontract any part of the Services in accordance with the provisions of Sub-Clause 3.6;
- (o) "Third Party" means any person or entity other than the Client, the Consultants or a Sub consultant; and
- (p) "Project" means the work specified in SC for which engineering consultancy services are desired.

#### 1.2 Law Governing the Contract

This Contract, its meaning and interpretation, and the relation between the Parties shall be governed by the Applicable Law.

### 1.3 Language

This Contract has been executed in the English language which shall be the binding and controlling language for all matters relating to the meaning or interpretation of this Contract. All the reports and communications shall be in the English language.

#### 1.4 Notices

Any notice, request, or consent made pursuant to this Contract shall be in writing and shall be deemed to have been made when delivered in person to an Authorized Representative of the Party to whom the communication is addressed, or when sent by registered mail, telex, or facsimile to such Party at the address of the Authorized Representatives specified under Sub-Clause SC 1.6. A Party may change its address for notice hereunder by giving the other Party notice of such change.

#### 1.5 Location

The Services shall be performed at such locations as are specified in Appendix A and, where the location of a particular task is not so specified, at such locations as mutually agreed by the Parties.

#### 1.6 Authorized Representatives

Any action required or permitted to be taken, and any document required or permitted to be executed, under this Contract by the Client or the Consultants shall be taken or executed by the Authorized Representatives specified in the SC.

#### 1.7 Taxes and Duties

Unless specified in the SC, the Consultants, Sub consultants, and their Personnel shall pay such taxes, duties, fees, and other impositions as may be levied under the Applicable Law, the amount of which is deemed to have been included in the Contract Price.

#### 1.8 Leader of Joint Venture

In case the Consultants consist of a joint venture of more than one entity, the Consultants shall be jointly and severally bound to the Client for fulfillment of the terms of the Contract and

Consultancy Services for Third Party Review of PC-I of Electrical & Mechanical Works of Lowari Tunnel Project.

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designate the Member named in the SC to act as leader of the Joint Venture, for the purpose of receiving instructions from the Client.

# 2. COMMENCEMENT, COMPLETION, MODIFICATION, AND TERMINATION OF CONTRACT

#### 2.1 Effectiveness of Contract

This Contract shall come into force and effect on the date (the "Effective Date") of the Client's notice to the Consultants instructing the Consultants to begin carrying out the Services. This notice shall confirm that the effectiveness conditions, if any, listed in the SC have been met.

#### 2.2 Termination of Contract for Failure to Become Effective

If this Contract has not become effective within such time period after the date of the Contract signed by the Parties as shall be specified in the SC, either Party may, by not less than twenty eight (28) days written notice to the other Party, declare this Contract to be null and void, and in the event of such a declaration by either Party, neither Party shall have any claim against the other Party except for the work (if any) already done or costs already incurred by a Party at the request of the other Party.

#### 2.3 Commencement of Services

The Consultants shall begin carrying out the Services at the end of such time period after the Effective Date as shall be specified in the SC.

## 2.4 Expiration of Contract

Unless terminated earlier pursuant to Sub-Clause 2.9, this Contract shall expire when, pursuant to the provisions hereof, the Services have been completed and the payments of remunerations including the direct costs if any, have been made. The Services shall be completed within a period as is specified in the SC, or such extended time as may be allowed under Sub-Clause 2.6.

The term "Completion of Services" is as specified in the SC.

#### 2.5 Modification

Modification of the terms and conditions of this Contract, including any modification of the scope of the Services or of the Contract Price, may only be made in writing, which shall be signed by both the Parties.

#### 2.6 Extension of Time for Completion

If the scope or duration of the Services is increased:

- (a) the Consultants shall inform the Client of the circumstances and probable effects;
- (b) the increase shall be regarded as Additional Services; and
- (c) the Client shall extend the time for Completion of the Services accordingly.

### 2.7 Force Majeure

#### 2.7.1 Definition

- (a) For the purposes of this Contract, "Force Majeure" means an event which is beyond the reasonable control of a Party and which makes a Party's performance of its obligations under the Contract impossible or so impractical as to be considered impossible under the circumstances, and includes, but is not limited to, war, riots, civil disorder, earthquake, fire, explosion, storm, flood or other adverse weather conditions, strikes, lockouts or other industrial actions are within the power of the Party invoking Force Majeure to prevent), confiscation or any other action by government agencies.
- (b) Force Majeure shall not include (i) any event which is caused by the negligence or intentional action of a Party or such Party's Sub consultants or agents or employees, nor (ii) any event which a diligent Party could reasonably have been expected to both (A) take into account at the time of the conclusion of this Contract and (B) avoid or overcome in the carrying out of its obligations hereunder.
- (c) Force Majeure shall not include insufficiency of funds or failure to make any payment required hereunder.

#### 2.7.2 No Breach of Contract

The failure of a Party to fulfill any of its obligations under the Contract shall not be considered to be a breach of, or default under this Contract insofar as such inability arises from an event of Force Majeure, provided that the Party affected by such an event; (a) has taken all reasonable precautions, due care and reasonable alternative measures in order to carry out the terms and conditions of this Contract; and (b) has informed the other Party in writing not later than fifteen (15) days following the occurrence of such an event.

#### 2.7.3 Extension of Time

Any period within which a Party shall, pursuant to this Contract, complete any action or task, shall be extended for a period equal to the time during which such Party was unable to perform such action as a result of Force Majeure.

#### 2.7.4 Payments

During the period of their inability to perform the Services as a result of an event of Force Majeure, the Consultants shall be entitled to continue to be paid under the terms of this Contract, as well as to be reimbursed for additional costs reasonably and necessarily incurred by them during such period for the purpose of the Services and in reactivating the Services after the end of such period.

#### 2.8 Suspension of Payments by the Client

The Client may, by written notice of suspension to the Consultants, suspend all payments to the Consultants hereunder if the Consultants fail to perform any of their obligations under this Contract, including the carrying out of the Services, provided that such notice of suspension

Consultancy Services for Third Party Review of PC-I of Electrical & Mechanical Works of Lowari Tunnel Project.

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(i) shall specify the nature of the failure, and (ii) shall request the Consultants to remedy such failure within a period not exceeding thirty (30) days after receipt by the Consultants of such notice of suspension.

#### 2.9 Termination

#### 2.9.1 By the Client

The Client may terminate this Contract, by not less than thirty (30) days written notice of termination to the Consultants, to be given after the occurrence of any of the events specified in paragraphs (a) through (e) of this Sub-Clause 2.9.1 and sixty (60) days' in the case of the event referred to in paragraph (f):

- (a) if the Consultants do not remedy a failure in the performance of their obligations under the Contract, within thirty (30) days after being notified or within any further period as the Client may have subsequently approved in writing;
- (b) if the Consultants become (or, if the Consultants consist of more than one entity, if any of their Members becomes) insolvent or bankrupt or enter into any agreements with their creditors for relief of debt or take advantage of any law for the benefit of debtors or go into liquidation or receivership whether compulsory or voluntary;
- (c) if the Consultants fail to comply with any final decision reached as a result of arbitration proceedings pursuant to Clause 7 hereof;
- (d) if the Consultants submit to the Client a statement which has a material effect on the rights, obligations or interests of the Client and which the Consultants know to be false;
- (e) if, as the result of Force Majeure, the Consultants are unable to perform a material portion of the Services for a period of not less than sixty (60) days;
- (f) if the Client, in its sole discretion, decides to terminate this Contract.

#### 2.9.2 By the Consultants

The Consultants may terminate this Contract, by not less than thirty (30) days written notice to the Client, such notice to be given after the occurrence of any of the events specified in paragraphs (a) through (d) of this Sub-Clause 2.9.2:

(a) if the Client fails to pay any monies due to the Consultants pursuant to this Contract and not subject to dispute pursuant to Clause 7 within forty-five (45) days after receiving written notice from the Consultants that such payment is overdue;



if the Client is in material breach of its obligations pursuant to this Contract and has not remedied the same within forty-five (45) days (or such longer period as the Consultants may have subsequently approved in writing) following the receipt by the Client of the Consultants' notice specifying such breach;

if, as a result of Force Majeure, the Consultants are unable to perform a material portion of the Services for a period of not less than sixty (60) days;

(d) if the Client fails to comply with any final decision reached as a result of arbitration proceedings pursuant to Clause 7 hereof.

#### 2.9.3 Cessation of Services

Upon receipt of notice of termination under Sub-Clause 2.9.1, or giving of notice of termination under Sub-Clause 2.9.2, the Consultants shall take all necessary steps to bring the Services to a close in a prompt and orderly manner and shall make every reasonable effort to keep expenditures for this purpose to a minimum. With respect to documents prepared by the Consultants, and equipment and materials furnished by the Client, the Consultants shall proceed as provided, respectively, by Sub-Clauses 3.8 or 3.9.

### 2.9.4 Payment upon Termination

Upon termination of this Contract pursuant to Sub-Clauses 2.9.1 or 2.9.2, the Client shall make the following payments to the Consultants:

- (a) Remuneration and reimbursable direct costs expenditure pursuant to Clause 6 for Services satisfactorily performed prior to the effective date of termination. Effective date of termination for purposes of this Sub-Clause means the date when the prescribed notice period would expire;
- (b) except in the case of termination pursuant to paragraphs (a) through (d) of Sub-Clause 2.9.1, reimbursement of any reasonable cost incidental to the prompt and orderly termination of the Contract, including the cost of the return travel of the Personnel, according to Consultants Traveling Allowance Rules.

In order to compute the remuneration for the part of the Services satisfactorily performed prior to the effective date of termination, the respective remunerations shall be proportioned.

#### 2.9.5 Disputes about Events of Termination

If either Party disputes whether an event specified in paragraphs (a) through (e) of Sub-Clause 2.9.1 or in paragraph (a) through (d) of Sub-Clause 2.9.2 hereof has occurred, such Party may, within forty-five (45) days after receipt of notice of termination from the other Party, refer the matter to arbitration pursuant to Clause 7 hereof, and this Contract shall not be terminated on account of such event except in accordance with the terms of any resulting arbitral award.

#### 3. OBLIGATIONS OF THE CONSULTANTS

#### 3.1 General

The Consultants shall perform the Services and carry out their obligations with all due diligence, efficiency, and economy, in accordance with generally accepted professional techniques and practices, and shall observe sound management practices, and employ appropriate advanced technology and safe methods. The Consultants shall always act, in respect of any matter relating to this Contract or to the Services, as faithful advisers to the Client, and shall at all times support and safeguard the Client's legitimate interests in any dealings with Sub consultants or third parties.

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#### 3.2 Consultants Not to Benefit from Commissions, Discounts, etc.

The remuneration of the Consultants pursuant to Clause 6 shall constitute the Consultants' sole remuneration in connection with this Contract or the Services, and the Consultants shall not accept for their own benefit any trade commission, discount, or similar payment in connection with activities pursuant to this Contract or to the Services or in the discharge of their obligations under the Contract, and the Consultants shall use their best efforts to ensure that the Personnel, any Sub consultants, and agents of either of them similarly shall not receive any such additional remuneration.

#### 3.3 Confidentiality

The Consultants, their Sub consultants, and the Personnel of either of them shall not, either during the term or after the expiration of this Contract, disclose any proprietary or confidential information relating to the Project, the Services, this Contract, or the Client's business or operations without the prior written consent of the Client.

### 3.4 Professional Liability

The Consultants are liable for the consequence of errors and omissions on their part or on the part of their employees in so far as the design of the Project is concerned to the extent and with the limitations as specified herein below.

If the Client suffers any losses or damages as a result of proven faults, errors or omissions in the design of a project, the Consultants shall make good such losses or damages, subject to the conditions that the maximum liability as aforesaid shall not exceed twice the total remuneration of the Consultants for design phase in accordance with the terms of the Contract.

The liability of the Consultants expires after one (1) year from the stipulated date of completion of construction or after three (3) years from the date of final completion of the design whichever is earlier.

The Consultants may, to protect themselves, insure themselves against their liabilities but this is not obligatory. The extent of the insurance shall be up to the limit specified in second para above. The Consultants shall procure the necessary cover before commencing the Services and the cost of procuring such cover shall be borne by the Consultants up to a limit of one percent of the total remuneration of the Consultants for the design phase for every year of keeping such cover effective.

The Consultants shall, at the request of the Client, indemnify the Client against any or all risks arising out of the furnishing of professional services by the Consultants to the Client, not covered by the provisions contained in the first para above and exceeding the limits set forth in second para above provided the actual cost of procuring such indemnity as well as costs exceeding the limits set forth in fourth para above shall be borne by the Client.

#### 3.5 Other Insurance to be taken out by the Consultants

The Consultants (a) shall take out and maintain, and shall cause any Sub consultants to take out and maintain, at their (or the Sub consultants', as the case may be) own cost but on terms and conditions approved by the Client, insurance against the risks, and for the coverage, as are specified in the SC; and (b) at the Client's request, shall provide evidence to the Client showing that such insurance has been taken out and maintained and that the current premiums have been paid.

## 3.6 Consultants' Actions Requiring Client's Prior Approval

The Consultants shall obtain the Client's prior approval in writing before taking any of the following actions:

- (a) Appointing such Personnel as are listed in Appendix-C merely by title but not by name;
- (b) entering into a subcontract for the performance of any part of the Services, it being understood (i) that the selection of Sub consultants and the terms and conditions of the subcontract shall have been approved in writing by the Client prior to the execution of the subcontract, and (ii) that the Consultants shall remain fully liable for the performance of the Services by the Sub consultants and its Personnel pursuant to this Contract;
- (c) any other action that may be specified in the SC.

## 3.7 Reporting Obligations

The Consultants shall submit to the Client the reports and documents specified in Appendix B in the form, in the numbers, and within the periods set forth in the said Appendix.

#### 3.8 Documents Prepared by the Consultants to be the Property of the Client

All plans, drawings, specifications, reports, and other documents and software prepared by the Consultants in accordance with Sub-Clause 3.7 shall become and remain the property of the Client, and the Consultants shall, not later than upon termination or expiration of this Contract, deliver (if not already delivered) all such documents and software to the Client, together with a detailed inventory thereof. The Consultants may retain a copy of such documents and software.

Restriction(s) about the future use of these documents is specified in the SC.

#### 3.9 Equipment and Materials Furnished by the Client

Equipment and materials made available to the Consultants by the Client or purchased by the Consultants with funds provided exclusively for this purpose by the Client, shall be the property of the Client and shall be marked accordingly. Upon termination or expiration of this Contract, the Consultants shall make available to the Client an inventory of such equipment and materials and shall dispose of such equipment and materials in accordance with the Client's instructions or afford salvage value of the same. While in possession of such equipment and materials, the Consultants, unless otherwise instructed by the Client in writing,

Consultancy Services for Third Party Review of PC-I of Electrical & Mechanical Works of Lowari Tunnel Project.

shall insure them at the expense of the Client in an amount equal to their full replacement value.

### 3.10 Accounting, Inspection and Auditing

The Consultants (i) shall keep accurate and systematic accounts and records in respect of the Services hereunder, in accordance with internationally accepted accounting principles and in such form and detail as will clearly identify all relevant time charges, and cost, and the basis thereof, and (ii) shall permit the Client or its designated representatives periodically, and up to one year from the expiration or termination of this Contract, to inspect the same and make copies thereof as well as to have them audited by auditors appointed by the Client.

### 4. CONSULTANTS' PERSONNEL AND SUBCONSULTANTS

### 4.1 Description of Personnel

The titles, agreed job descriptions, minimum qualifications, and estimated periods of engagement in the carrying out of the Services of the Consultants' Key Personnel are described in Appendix C. The Key Personnel and Sub consultants listed by title and/or by name, as the case may be, in Appendix C are deemed to be approved by the Client.

### 4.2 Removal and/or Replacement of Personnel

- (a) Except as the Client may otherwise agree, no changes shall be made in the Key Personnel. If, for any reason beyond the reasonable control of the Consultants, it becomes necessary to replace any of the Key Personnel, the Consultants shall provide as a replacement a person of equivalent or better qualifications;
- (b) If the Client, (i) finds that any of the Personnel have committed serious misconduct or have been charged with having committed a criminal action; or (ii) has reasonable cause to be dissatisfied with the performance of any of the Personnel, then the Consultants shall, at the Client's written request specifying the grounds therefore, provide as a replacement a person with qualifications and experience acceptable to the Client.
- (c) Except as the Client may otherwise agree, the Consultants shall; (i) bear all the additional travel and other costs arising out of or incidental to any removal and/or replacement; and (ii) bear any additional remuneration, to be paid for any of the Personnel provided as a replacement to that of the Personnel being replaced.

### 5. OBLIGATIONS OF THE CLIENT

### 5.1 Assistance, Coordination and Approvals

### 5.1.1 Assistance

The Client shall use its best efforts to ensure that the Client shall:

(a) provide at no cost to the Consultants, Sub consultants and Personnel such documents prepared by the Client or other consulting engineers appointed by the Client as shall be necessary to enable the Consultants, Sub consultants or Personnel to perform the



Services. The documents and the time within which such documents shall be made available, are as specified in the SC;

- (b) Assist to obtain the existing data pertaining or relevant to the carrying out of the Services, with various Government and other organizations. Such items unless paid for by the Consultants without reimbursement by the Client, shall be returned by the Consultants upon completion of the Services under this Contact;
- (c) issue to officials, agents and representatives of the concerned organizations, all such instructions as may be necessary or appropriate for prompt and effective implementation of the Services;
- (d) Assist to obtain permits which may be required for right-of-way, entry upon the lands and properties for the purposes of this Contract;
- (e) Provide to the Consultants, Sub consultants, and Personnel any such other assistance and exemptions as may be specified in the SC.

### 5.1.2 Co-ordination

The Client shall:

- (a) co-ordinate and get or expedite any necessary approval and clearances relating to the work from any Government or Semi-Government Agency, Department or Authority, and other concerned organization named in the SC.
- (b) Co-ordinate with any other consultants employed by him.

### 5.1.3 Approvals

The Client shall accord approval of the documents within such time as specified in the SC, whenever these are applied for by the Consultants.

### 5.2 Access to Land

The Client warrants that the Consultants shall have, free of charge, unimpeded access to all land of which access is required for the performance of the Services.

### 5.3 Changes in the Applicable Law

If, after the date of this Contract, there is any change in the Applicable Law which increases or decreases the cost of the Services rendered by the Consultants, then the remunerations and direct costs otherwise payable to the Consultants under this Contract shall be increased or decreased accordingly, and corresponding adjustment shall be made to the amounts referred to in Sub-Clause 6.2 (a) or (b), as the case may be.

### 5.4 Services and Facilities

The Client shall make available to the Consultants, Sub consultants and the Personnel, for the purpose of the Services and free of any charge, the services, facilities and property described in Appendix F at the times and in the manner specified in said Appendix F,

Consultancy Services for Third Party Review of PC-I of Electrical & Mechanical Works of Lowari Tunnel Project.

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provided that if such services, facilities and property shall not be made available to the Consultants as and when so specified, the Parties shall agree on; (i) any time extension that it may be appropriate to grant to the Consultants for the performance of the Services; (ii) the manner in which the Consultants shall procure any such services, facilities and property from other sources; and (iii) the additional payments, if any, to be made to the Consultants as a result thereof pursuant to Clause 6 hereinafter.

### 5.5 Payments

In consideration of the Services performed by the Consultants under this Contract, the Client shall make to the Consultants such payments and in such manner as is provided by Clause 6 of this Contract.

### 6. PAYMENTS TO THE CONSULTANTS

### 6.1 Lump Sum Remuneration

The Consultants' total remuneration shall not exceed the Contract Price and shall be a fixed lump sum including all staff costs, incurred by the Consultants in carrying out the Services described in Appendix A. Other reimbursable direct costs expenditure, if any, is specified in the SC. Except as provided in Sub-Clause 5.3, the Contract Price may only be increased above the amounts stated in Sub-Clause 6.2 if the Parties have agreed to additional payments in accordance with Sub-Clauses 2.5, 2.6, 5.4 or 6.6.

### 6.2 Contract Price

- (a) Foreign currency payment shall be made in the currency or currencies specified as foreign currency or currencies in the SC, and local currency payment shall be made in Pakistani Rupees.
- (b) The SC shall specify the breakup of remuneration to be paid, respectively, in foreign and in local currencies.

### 6.3 Terms and Conditions of Payment

Payment will be made to the account of the Consultants and according to the payment schedule stated in the SC. Payments shall be made after the conditions listed in the SC for such payments have been met, and the Consultants have submitted an invoice to the Client specifying the amount due.

### 6.4 Period of Payment



- (a) Advance payment to the Consultants shall be affected within the period specified in the SC, after signing of the Contract Agreement between the Parties.
- (b) Any other amount due to the Consultants shall be paid by the Client to the Consultants within twenty-eight (28) days in case of local currency and fifty-six (56) days in case of foreign currency after the Consultants' invoice has been delivered to the Client.

### 6.5 Delayed Payments

If the Client has delayed payments beyond the period stated in paragraph (b) of Sub-Clause 6.4, financing charges shall be paid to the Consultants for each day of delay at the rate specified in the SC.

### 6.6 Additional Services

Additional Services means:

- (a) Services as approved by the Client outside the Scope of Services described in Appendix A;
- (b) Services to be performed during the period extended pursuant to Sub-Clause 2.6, beyond the original schedule time for completion of the Services; and
- (c) any re-doing of any part of the Services as a result of Client's instructions.

If, in the opinion of the Client, it is necessary to perform Additional Services during the currency of the Contract for the purpose of the Project, such Additional Services shall be performed with the prior concurrence of both the Parties. The Consultants shall inform the Client of the additional time (if any), and the additional remuneration and reimbursable direct costs expenditure for such Additional Services. If there is no disagreement by the Client within two weeks of this intimation, such additional time, remuneration and reimbursable direct costs expenditure shall be deemed to become part of the Contract. Such remuneration and reimbursable direct costs expenditure shall be determined on the basis of rates provided in Appendices D and E, in case the Additional Services are performed during the scheduled period of the Services, otherwise remuneration for Additional Services shall be determined on the basis of Consultants' billing rates prevailing at the time of performing the Additional Services.

### 6.7 Consultants' Entitlement to Suspend Services

If the Client fails to make the payment of any of the Consultants' invoice (excluding the advance payment), within twenty-eight (28) days after the expiry of the time stated in paragraph (b) of Sub-Clause 6.4, within which payment is to be made, the Consultants may after giving not less than fourteen (14) days' prior notice to the Client, suspend the Services or reduce the rate of carrying out the Services, unless and until the Consultants have received the payment.

This action will not prejudice the Consultants entitlement to financing charges under Sub-Clause 6.5.

### 7. SETTLEMENT OF DISPUTES

### 7.1 Amicable Settlement

The Parties shall use their best efforts to settle amicably all disputes arising out of or in connection with this Contract or its interpretation.

### 7.2 Dispute Settlement

Any dispute between the Parties as to matters arising pursuant to this Contract which cannot be settled amicably within thirty (30) days after receipt by one Party of the other Party's request for such amicable settlement may be submitted by either Party for settlement in accordance with the provisions of the Arbitration Act, 1940 (Act No x of 1940) and of the Rules made there under and any statutory modifications thereto.

Services under the Contract shall, if reasonably possible, continue during the arbitration proceedings and no payment due to or by the Client shall be withheld on account of such proceedings.

### 8. INTEGRITY PACT

- 8.1 If the Consultant or any of his Sub consultants, agents or servants is found to have violated or involved in violation of the Integrity Pact signed by the Consultant as Appendix-G to this Form of Contract, then the Client shall be entitled to:
  - (a) recover from the Consultant an amount equivalent to ten times the sum of any commission, gratification, bribe, finder's fee or kickback given by the Consultant or any of his Sub consultant, agents or servants;
  - (b) terminate the Contract; and
  - (c) Recover from the Consultant any loss or damage to the Client as a result of such termination or of any other corrupt business practices of the Consultant or any of his Sub consultant, agents or servants.

On termination of the Contract under Sub-Para (b) of this Sub-Clause, the Consultant shall proceed in accordance with Sub-Clause 2.9.3. Payment upon such termination shall be made under Sub-Clause 2.9.4 (a) after having deducted the amounts due to the Client under Sub-Para (a) and (c) of this Sub-Clause.



### III. SPECIAL CONDITIONS OF CONTRACT

No. Amendments of, and Supplements to, Clauses in the General Conditions of contract of GC Clause.

### 1.1 Definitions

(p) "Project means "Consultancy Services for Third Party Review of PC-I of Electrical & Mechanical Works of Lowari Tunnel Project"

### 1.2 Law Governing the Contract

The Consultants personnel shall at all times endeavor to observe and respect all laws, rules, regulations and customs prevailing within the Islamic Republic of Pakistan.

### 1.6 Authorized Representatives

The Authorized Representatives are the following:

For the Client:

### General Manager (LTP)

National Highway Authority

Address: NHA, Office Complex, Chamkani

Interchange, Peshawar

Telephone: (+92) 9032549

Email:

gm-lep@nha.gov.pk

For the Consultants:	(To b	e Finalized	during	Contract	Negotiation	ı)
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		(Name of Project M (Project) (Address)	Honway Author
Telephone Facsimile E-Mails	:		P&CP (Sovi. of Paties)

### 1.7 Taxes and Duties

Payment of Taxes will be the responsibility of the Consultants in accordance with Pakistan Tax Laws.

[All notes should be deleted in final text. All blanks should be filled in.]

### 1.8 Leader of the Joint Venture

The leader of the Joint Venture is.......................(Name of the Member of the Joint Venture).

[Note: If the Consultants do not consist of more than one entity, the Sub-Clause 1.8 should be deleted.]

Consultancy Services for Third Party Review of PC-I of Electrical & Mechanical Works of Lowari Tunnel Project.

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### 2.1 Effectiveness of Contract

The date on which this Contract shall come into effect is the date when the Contract is signed by both the Parties.

### 2.2 Termination of Contract for Failure to Become Effective

The time period shall be thirty (30) days, or such other period as the Parties may agree in writing.

### 2.3 Commencement of Services

The Consultant shall commence the services immediately after signing of the Contract Agreement or such other time as the Parties may agree in writing.

### 2.4 Expiration of Contract

The services specified in the TOR shall be completed and all relevant reports submitted in the form and format acceptable to the Employer, within two (02) months extendable to further one (01) month without any financial effect, from the date of signing of Contract Agreement or such other period as the Parties may agree in writing.

### 2.7.4 Payments

Following text is added at the end of the Para:

"Excluding overheads and profits."

### 3.4 Professional Liability

Professional indemnity bond for twice the remuneration in the joint name of Client and Consultants shall be provided as per last paragraph of GC 3.4 within 30 days after the issuance of Letter of Acceptance. The Consultants is to cover this cost in its overheads.

### 3.5 Insurance to be taken out by the Consultants

The risks and the coverages shall be as follows:



- (a) Third Party motor vehicle liability insurance in respect of motor vehicles operated in Pakistan by the Consultants or their Personnel or any Sub-consultants or their Personnel, with a minimum coverage of Rs. 100,000/-.
- (b) Insurance against loss of or damage to equipment purchased in whole or in part with funds provided under the Contract.
- (c) The Consultants are required to insure their Employees and Professionals for Hospitalization/Medical, Travel and Accident Cover for the duration of the Contract.

### 3.7 Reporting Obligations

Moreover, along with the hard copies "contractor/ consultant" etc. must provide duly certified Soft/ scanned copies of the all the documents prepared/ used/ referred etc. during the contract

period. The soft/ scanned copies shall be stored in the appropriate storage media like external hard disk in a secure and structured manner. The scanned copies must have proper file names/ titles etc in appropriate folders for quick retrieval. The soft/ scanned copies provided by the contractor/ consultant must have third party certification and traceability.

### 3.8 Documents Prepared by the Consultants to be the Property of the Client

The Client and the Consultants shall not use these documents for purposes unrelated to this Contract without the prior written approval of the other Party.

### 5.1.1 Assistance

(a) The Client shall make available within 14 days from the Commencement Date, the documents namely: to be inserted as and when required.

### 5.1.2 Coordination

(a) The departments and agencies include as per requirement from time to time.

### 5.1.3 Approvals

The Client shall accord approval of the documents immediately but not later than fourteen (14) days from the date of their submission by the Consultants.

### 6.1 Lump Sum Remuneration

[Note: In case there are other expenditures in respect of which reimbursement is allowed in addition to the lump sum remuneration, details of such reimbursable direct costs expenditure which may include Sub consultants' costs, printing, communications, travel, accommodation etc., may be indicated herein. Each item shall be specified whether it is payable on the basis of (a) lump sum monthly rate; or (b) reimbursement of actual expenditures.].

### 6.2 Contract Price

- (b) The breakup of foreign and local currencies shall be as under:
- For Planning and Designing, total foreign currency comprising.... (Name the currency/currencies) is ....... and total Pak Rs. is

### 6.3 Terms and Conditions of Payment

A lump sum amount in local currencies against services referred under SC 6.2 shall be paid to the Consultants for the Services to be completed within the period specified in SC 2.4.

Payments shall be made according to the following schedule:

### AS PER TOR

### 6.4 Period of Payment

(a) The time period for advance payment shall be ......() days after signing of Contract Agreement by both the Parties. (Fill in the time period e.g., thirty (30) days).

### 6.5 Delayed Payments

Financing charges are as under:

(ii) for local currency = Eight percent (08%) per annum.

### 6.6 Additional Services

The Consultants shall be prepared at any time during the project to provide expert technical advice and skill to the Client who may ask and need such assistance on any phase or specific feature of the Project. The Consultants will be separately compensated for all such services not covered in the original Services.

### 9. Priority of Documents

Following is to indicate priority of documents forming part of this Contract to resolve an ambiguity or non-clarity in the provision:

- ✓ Contract Agreement;
- ✓ Minutes of Contract Negotiation Meeting;
- ✓ The Special Conditions of Contract;
- ✓ The General Conditions of Contract;
- ✓ Minutes of Pre-Proposal Meeting and Addenda;
- ✓ Scope of Services/ Terms of Reference;
- ✓ Other documents including Integrity Pact and JV agreement (if any).

### 10. Royalties

The Consultants shall save harmless and indemnify the Client from and against all claims and proceedings on account of or for infringement of any patent right, design, trademark or name or other protected rights in respect to any patented designs, features or equipment they may use for carrying out the Services, and shall pay all royalties etc. thereto.

### 11. Penalty

If the Consultants fails to comply with the time to completion as given in the Contract, the Client will impose a penalty at the rate of 0.05% of the fee for incomplete portion of work as per Appendix-E for each day of delay up to a maximum of 10% of the same amount.



### IV APPENDICES



Consultancy Services for Third Party Review of PC-I of Electrical & Mechanical Works of Lowari Tunnel Project.

### Appendix A

### Description of the Services

[Give detailed descriptions of the Services to be provided, dates for completion of various tasks, place of performance for different tasks, specific tasks to be approved by Client, etc.]



### Appendix B

### Reporting Requirements

Pursuant to Sub-Clause GC-3.7, the Consultants shall submit the following reports:

[List format, frequency, and contents of reports; persons to receive them; dates of submission and the number of copies of each submittal; etc. If no reports are to be submitted, state here "Not applicable".]



### Appendix C

### Key Personnel and Sub consultants

[List under:

- C-1 Title [and names, if already available], activities of job descriptions of key Personnel to be assigned to work and staff-months for each.
- C-2 List of approved Sub consultants (if already available); same information with respect to their Personnel as in C-1.]



### Appendix D

### **Breakdown of Contract Price in Foreign Currency**

[List here the elements of cost used to arrive at the breakdown of the Contract Price-foreign currency portion:

- 1. Remuneration for various items on the basis of as mutually agreed.
- 2. Other reimbursable direct costs expendity
- 3. Total, remuneration and reimburs

ct costs expend

Note:

This appendix will exclusively be used for detaining emuneration for Additional Services in accordance with Sub-Clause GC 6.6.]



### Appendix E

### Breakdown of Contract Price in Local Currency

[List here the elements of cost used to arrive at the breakdown of the Contract Price-local currency portion:

- 1. Remuneration for various items on the basis of rates as mutually agreed.
- 2. Other reimbursable direct costs expenditure related to:
  - (a) Support staff, and work charged staff;
  - (b) Office expenditures related to:
    - (i) rentals;
    - (ii) furnishing and equipment;
    - (iii) operation and maintenance of office, office equipment and furniture, office supplies.
  - (c) Transport including running and maintenance, and other associated costs;
  - (d) Traveling etc.
  - (e) Other costs
- 3. Total, remuneration and reimbursable direct costs expenditure = (1 + 2).

Note:

- 1. Each item of reimbursable direct costs expenditure shall be specified whether it is payable on the basis of (a) lump sum monthly rate; or (b) reimbursement of actual expenditures.
- 2. This appendix will exclusively be used for determining remuneration for Additional Services in accordance with Sub-Clause GC 6.6.]



### Appendix F

Services and Facilities to be provided by the Client

As Per TOR



47.245.7

## Appendix G (INTEGRITY PACT)

# Declaration of Fees, Commission and Brokerage etc. Payable by the Suppliers of Goods, Services & Works in Contracts Worth Rs.10.00 million or More

Contract No	Dated:	Contract Value:
obtained or induced obligation or benefit or agency thereof or a practice. Without lim warrants that it has anyone and not given outside Pakistan either its affiliate, agent, as subsidiary, any commas consultation fee or	the procurement of from Government of ny other entity owned iting the generality of fully declared the bro- or agreed to give and or directly or indirectly associate, broker, cons- nission, gratification, otherwise, with the of st, privilege or other of	Iname of Supplier] hereby declares that it has not any contract, right, interest, privilege or other Pakistan (GOP) or any administrative subdivision or controlled by GOP through any corrupt business of the foregoing, [name of Supplier] represents and okerage, commission, fees etc. paid or payable to a shall not give or agree to give to anyone within or y through any natural or juridical person, including ultant, director, promoter, shareholder, sponsor or bribe, finder's fee or kickback, whether described bject of obtaining or inducing the procurement of a bligation or benefit in whatsoever form from GOP, red pursuant hereto.
and arrangements wi	th all persons in responsin or will not take	ade and will make full disclosure of all agreements ect of or related to the transaction with GOP and has any action to circumvent the above declaration,
declaration, not mak defeat the purpose of right, interest, privile without prejudice to	ing full disclosure, not this declaration, reprege or other obligation	sibility and strict liability for making any false nisrepresenting facts or taking any action likely to esentation and warranty. It agrees that any contract, n or benefit obtained or procured as aforesaid shall, remedies available to GOP under any law, contract ion of GOP.
agrees to indemnify business practices an the sum of any commands. Supplier] as aforesa	GOP for any loss of ad further pay comper mission, gratification, and for the purpose of	exercised by GOP in this regard, [name of Supplier] r damage incurred by it on account of its corrupt is action to GOP in an amount equivalent to ten time bribe, finder's fee or kickback given by [name of of obtaining or inducing the procurement of any obligation or benefit in whatsoever form from GOP.
Name of Buyer: Nati	ional Highway Autho	rity Name of Seller/Supplier:
Signature:		Signature:
[Seal]	lional High	ay Authority [Seal]

### CONTRACT FOR ENGINEERING CONSULTANCY SERVICES

	Between	
	(NAME OF THE CLIENT)	<del></del>
	•	•
	And	
· · · · · · · · · · · · · · · · · · ·		
(NAME OF TH	IE JOINT VENTURE OF THE CO	NSULTANTS)
·	For	
	(BRIEF SCOPE OF S	SERVICES)
<b>OF</b>	(NAME OF	PROJECT)
<u></u>		INCOLUT,
	<u>,</u>	
	Month and Year	
_ (NAME OF THI	E JOINT VENTURE OF THE CON	SULTANTS)
	Individual Consultants) Individual Consultants)	
(Maine of	individual Consultants	( * G
		N <sub>2</sub> ,
	·	\\* a

### FORM OF CONTRACT

[Note	: Use this Form of Contract when the Consultants perform Services as a Joint Venture.
	CONTRACT (hereinafter called the "Contract") is made on the day of (month) (year), between, on the one hand,
and p	inafter called the "Client" which expression shall include the successors, legal representatives ermitted assigns) and, on the other hand, a joint venture consisting of the following entities, of which will be jointly and severally liable to the Client for all the Consultants' obligations this Contract, namely:
repres	einafter collectively called the "Consultants" which expression shall include its successors, legal sentatives and permitted assigns).
(a)	the Client has requested the Consultants to provide certain consulting services as defined in the General Conditions of Contract attached to this Contract (hereinafter called the "Services"); and
(b)	the Consultants, having represented to the Client that they have the required professional skills, and personnel and technical resources, have agreed to provide the Services on the terms and conditions set forth in this Contract;
NOW	THEREFORE the Parties hereby agree as follows:
1.	The following documents attached hereto shall be deemed to form an integral part of this Contract:

- (a) the General Conditions of Contract;
- (b) the Special Conditions of Contract;
- (c) the following Appendices:

[Note: If any of these Appendices are not used, the words "Not Used" should be inserted below next to the title of the Appendix and on the sheet attached hereto carrying the title of that Appendix.]



Appendix A: Description of Services

Appendix B: Reporting Requirements

Appendix C: Key Personnel and Sub-consultants

Appendix D: Breakdown of Contract Price in Foreign Currency Appendix E: Breakdown of Contract Price in Local Currency

Appendix F: Services & Facilities to be Provided by the Client and Counterpart Personnel to be Made Available to the Consultants by the Client.

Appendix G:Integrity Pact (for Services above Rs. 10 Million)

Consultancy Services for Third Party Review of PC-I of Electrical & Mechanical Works of Lowari Tunnel Project.

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Tunnel Project.

- 2. The mutual rights and obligations of the Client and the Consultants shall be as set forth in the Contract, in particular:
  - (a) The Consultants shall carry out the Services in accordance with the provisions of the Contract; and
  - (b) The Client shall make payments to the Consultants in accordance with the provisions of the Contract.

IN WITNESS WHEREOF, the Parties hereto have caused this Contract to be signed in their respective names in two identical parts each of which shall be deemed as the original, as of the day, month and year first above written.

	For and on behalf of	
,	CLIENT'S NAME	
Witness		
Signature	Signature	
Name	Name	
Title	Title	
	(Seal)	
	For and on behalf of	
	NAME OF THE JOINT VENTURE OF THE CONSULTANTS	
	· · · · · · · · · · · · · · · · · · ·	
	Name of Member No. I	
Witness	i Tananananan	
Signature	Signature	
	Name	
Title		uighway
	(Seal)	The state of the s
	Name of Member No. 2	
	,	* P&CP
Witness		of Pakis
Signature	Signature	
	Name	
Title	Title	
	Title(Seal)	

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He dark.

Witness	Name of Member No. 3	<del></del>
Signature	Signature	
Name	Name	
Title ;	Title	
	(Seal)	



# Declaration of Ultimate Beneficial Owners Information for Public Procurement Contracts

# (For contracts worth Rs. 50 million and above)

- Name
- 2. Fathers Name/Spouse's Name
- 3. CINIC/NICOP/Passport No.
- Nationality
- Residential address
- Email Address
- .7 Date on which shareholding, control or interest acquired in the business.
- $\infty$ control, following additional particulars to be provided: In case of indirect shareholding, control or interest being exercised through intermediary companies entries or other legal person or legal arrangements in the chain of ownership or

_	Name	1			
	Legal form (company/ Limited Liability Partnership/ Association of Persons/Single Member Company/ partnership Firm/ Trust/ Any other individual, body corporate (to be specified)	2			
	Date of incorporation/registration	3			
	Name of registering	4			
	Business Address	5			
	Country	6			
	Email Address	7			
	Percentage of shareholding, control or interest of BO in the Legal person or legal arrangement				
	Percentage of shareholding, control or interest of legal person or legal arrangement in the company	9			
	Identify of natural person who ultimately owns or controls the legal person or arrangement	10			

9 in the capital of the company as set opposite respective names). Information about Board of Directors (Details Shall be provided regarding number of shares

	_	_	_	_						
				Letters)	Block	(in	surname	and	Name	1
				No.)	Passport	foreigner,	case of	No.(in	CINIC	2
					full	Name in	Husband's	Father's/		3
Total Numb						Nationality	Current			4
er of shares ta					(103)	(ies)	Nationality	Any other		5
ken (in					Oc	cu	pati	on	•	6
Total Number of shares taken (in figures and words)				than natural person	subscribers other	office address for a	registered/ principal	in full or the	Residential address	7
				and words)	(in figures	subscriber	by cash	share taken	Number of	8

10. Any other information incidental to or relevant to Beneficial owner(s)

Name and signature (Person authorized to issue notice on behalf of the company)



1

Proprietorship

Sole

3.

Sr. No.	Types of Organization	Minimum requirement of auditors	Basis of Preparation of Audit Reports	Basis of preparation of Financial Statements
1.	Corporate entities (duly registered with Securities and Exchange Commission of Pakistan)	Licensed Chartered Accountant Firms (Minimum Partnership Firm with international affiliation) enlisted and appearing on the list of firms in ICAP directory as at the finalization of procurement.		<ul> <li>i. Companies Ordinance 1984 or Companies Act 2017 (Whichever is applicable).</li> <li>ii. International accounting and financial reporting standards as applicable in Pakistan at the time of issuance of the reports.</li> </ul>
2.	Partnership Firm/ AOPs/ Joint Ventures	Licensed Chartered Accountant Firms (Minimum Partnership Firm) enlisted and appearing on the list of firms in ICAP directory as at the finalization of procurement.	International auditing standards as	International accounting and financial reporting standards as applicable in Pakistan at the time of issuance of the reports.
	Individuals/	Licensed Cost & Management Accountant Firms enlisted and appearing on the list of firms in ICMAP directory as at the finalization of procurement for	applicable in Pakistan.	

organization of net

worth up to 10 million

only. In all other cases

enlisted and appearing on the list of firms in ICAP directory as at the

Chartered

Firms

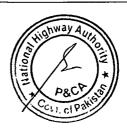
of

Licensed

Accountant

finalization

procurement.



Consistent and acceptable

Accounting policies.

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